

## Chapter 1

### The Promise of Nanotechnology

A technological journey is underway – a trip into very small spaces. The journey is led by an eclectic band of engineers and scientists from all disciplines – biology, chemistry, physics and mathematics – who are pooling their talents to create a new field called “nanotechnology”. The destination of this journey is not yet entirely clear. Are these nanotech pioneers leading us into a new world of bountiful productivity, or into a dangerous ravaged landscape?

When Lewis and Clark set off from St. Louis, Thomas Jefferson gave them a mandate “...to explore the Missouri river and such principal stream of it, as by its course and communication with the waters of the Pacific Ocean, may offer the most direct and practical water communication across this continent, for the purpose of commerce” [1]. When the Manhattan Project was formed under the greatest of secrecy, the purpose was clear to its participants – to create an atomic bomb that would, by its extraordinary power, put an end to the Second World War. When President John F. Kennedy promised to put Americans on the moon within a decade, there was no doubt as to the destination, although we seem to have forgotten what we were going to do once we got there.

Though funded by billions of dollars from governments around the world and billions more from private industry, the nanotech effort has no overarching mission statement. In this gold rush, the miners have hitched up their wagons and are heading out into uncharted territory. The nanotech journey is open-ended. It is as if, halfway through the Cumberland Gap, Daniel Boone had gathered his followers around him and said, “Well, in a few days we are either going to settle Kentucky, take a tour of Disneyland, or grab a space launch to Jupiter.”

One focus of the Nanotech Pioneers is clear: they are out to change the way that we build things now with bulk materials, whittling them down or molding them, to a model that is more like that used by living things, creating objects with defined features that extend to the molecular level. Nanotech seeks to “...rebuild the world one molecule (or even one atom) a time”, or so the slogan goes. But is the world really in need of rebuilding?

The more extreme nanotech enthusiasts believe that this new technology will usher in a kind of utopia where material goods will self-assemble from elemental feedstocks in the way that seeds turn into flowers. Some observers, paradoxically,

are concerned that nanotech will usher in such an era of abundance that traditional economics based on scarcity will fail, and that the capitalist system and the social organization it has engendered will be in peril.

Nanotech detractors see the technology as extremely dangerous. Some worry about the “gray goo” scenario wherein runaway nanobots run riot and turn the biosphere into dust, destroying human life in the process. Others worry about more conventional environmental contamination – that nanoparticles might have carcinogenic properties similar to asbestos, for instance.

Not since the early days of the nuclear power industry has there been a wider divergence between proponents and opponents of a new technology. Boosters of nuclear power suggested that electric power would become “... to cheap to meter” and that dependence on fossil fuels would fall by the wayside. Detractors warned that reactors would self-destruct in atomic bomb-like explosions, leaving large swaths of radioactive territory that would be uninhabitable for generations. The truth, of course, has been somewhere in between.

In the coming chapters, we will explore the benefits and opportunities of nanotechnology, as well as its potential dangers.

## Defining Nanotechnology

What, actually, do we mean by nanotechnology? The term itself was first coined in 1974 by Tokyo Science University professor Norio Taniguchi, who used it to describe the extension of traditional silicon machining down into regions smaller than one micron. By a now more generally accepted definition, today, nanotechnology is the engineering and fabrication of objects with features smaller than 100 nanometers, or one-tenth of a micron. A micron ( $\mu\text{m}$ ) is one millionth of a meter – too small for the eye to resolve. A nanometer (nm) is 1 thousandth of a micron – that is to say, really, really tiny. One nanometer is about the size of six carbon atoms aligned, or 10 hydrogen atoms – objects too small to see or image except by the use of very powerful electron or atomic force microscopes. So we are talking about a molecular scale.

The thinnest thing, apparently, that most people are generally aware of is a human hair. So texts and articles on nanotechnology will tell you that a nanometer is 60 000 times smaller than a human hair is in diameter. Or sometimes the number is 100 000; nobody seems to agree. I, personally, have very thin, baby-type hair. In a laboratory long ago, in a place far away, for the purpose of impressing my daughter, I took one of each of our hairs and placed them under a microscope. Her hair looked like a cable compared to mine. So I don’t use this hackneyed human hair comparison to give people an idea of nanometers. Human hair varies a lot, OK? And mine is almost gone, anyway.

Look at Table 1, which lists the sizes of some fairly well-known biological objects. A white blood cell is about  $10\ \mu\text{m}$  or 10 000 nm in diameter. Note that this is actually larger than the interior diameter of the smallest capillaries (8000 nm), so it helps that blood cells are deformable. Bacteria can be as large as a white blood cell, but most

are much smaller, on the order of 1  $\mu\text{m}$  in diameter. Viruses are smaller still, with an upper size range of about 100 nm. Nanofabricated objects have architectural features sizes that are equal to or smaller than the diameter of a virus.

Currently mass-produced semiconductor chips can have circuit elements etched down to 90 nm in diameter. However, this is falling rapidly with new nanolithography techniques, which are already pushing the limit down to around 20 nm, or smaller than the diameter of a ribosome, the organelle within our cells that makes proteins.

Carbon nanotubes (see below) can have diameters smaller than 2 nm – hence their desirability as potential components in nanoscale chips. Another staple of nanotechnology, the quantum dot, can be manufactured reliably as small as 2 nm in diameter. These enigmatic objects have a variety of uses in biosensors and in electronics, as will be discussed in following chapters.

**Table 1** The sizes of nanoscale objects: Nature versus fabrication.

| Object                        | Diameter       |
|-------------------------------|----------------|
| Hydrogen atom                 | 0.1 nm         |
| Buckminsterfullerene (C60)    | 0.7 nm         |
| Carbon nanotube (single wall) | 0.4–1.8 nm     |
| 6 carbon atoms aligned        | 1 nm           |
| DNA                           | 2 nm           |
| Proteins                      | 5–50 nm        |
| CdSe Quantum Dot              | 2–10 nm        |
| Ribosome                      | 25 nm          |
| Virus                         | 75–100 nm      |
| Semiconductor Chip Features   | 90 nm or above |
| Mitochondria                  | 500–1000 nm    |
| Bacteria                      | 1000–10 000 nm |
| Capillary (diameter)          | 8000 nm        |
| White blood cell              | 10 000 nm      |

### Top-Down versus Bottom-Up Manufacturing

Nanoscale manufacturing can occur either from the “top down” or the from the “bottom up.” Top-down manufacturing starts with bulk materials which are then whittled down, until the features that are left are nanoscale. For instance, crystal-

line drugs may be milled until the individual particle sizes are 100 nm, or smaller. At this size, the particles have a much larger surface area in relation to volume than would more conventional microscale particles. This allows them to dissolve much faster – which is critical for certain drugs that are not very soluble in water.

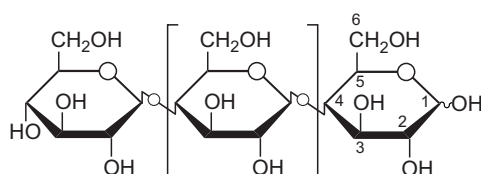
Bottom-up manufacturing involves creating objects or materials from individual atoms or molecules and then joining them together in a specific fashion.

Think about how a table is built. A plank of wood is connected to three or four posts, through the use of screws and wood-glue. The posts may also be made of wood. Simple enough. This is classical bulk material manufacturing. But how is the wood made?

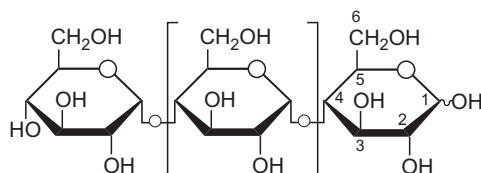
Wood is created by joining molecule to molecule according to instructions decoded from the DNA in the cells of trees. Tree-trunks may extend hundreds of feet into the air, bringing water from the roots to support branches and leaves. Whole ecosystems that live in the upper reaches of the rainforests are dependent upon this remarkable material. And yet, wood is synthesized at the nanoscale by the individual cells of the tree.

What is the chemical composition of wood? Wood is largely made of cellulose, which is in turn composed of repeating units of glucose, a simple sugar (a single unit is shown in square brackets in Fig. 1). A related material, potato starch, is also composed of repeating units of glucose (Fig. 2). So why can't we build houses out of potatoes? Unlike cellulose, potato starch is not rigid at all. The differences between cellulose and starch reside primarily in the molecular link that connects one glucose units one to another. These links translate into wholly different properties.

This is the promise of nanotechnology – to find extraordinary properties in the arrangement of simple materials.



**Figure 1** The chemical composition of cellulose. Brackets indicate the boundary of a glucose subunit. The carbon numbering system is indicated in the last subunit to the right.



**Figure 2** The chemical composition of starch. Note that the only difference between the two structures lies in the placement of the bond between glucose subunits.

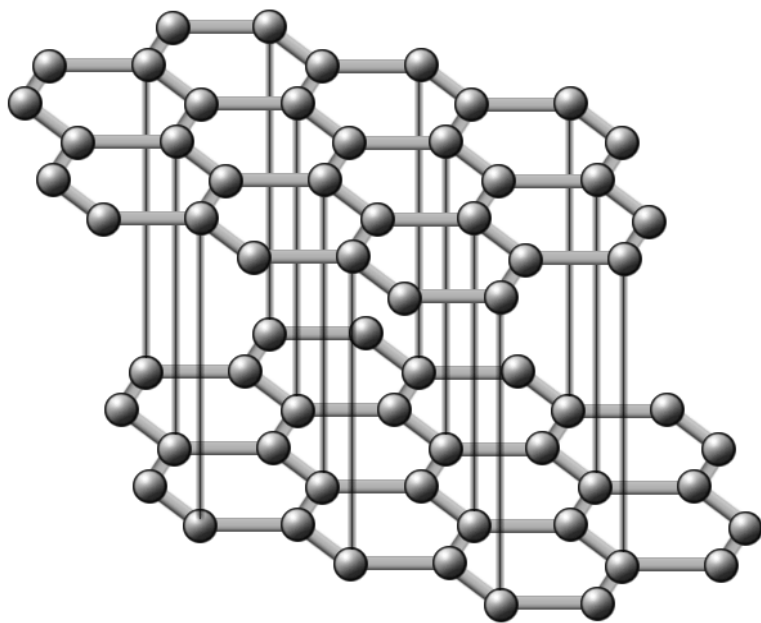
Cellulose is composed entirely of carbon, hydrogen, and oxygen, as shown above. Burn a tree trunk and the cellulose will be oxidized to carbon dioxide and water. However, because a fire is rarely completely efficient, the ashes remaining will contain a lot of elemental carbon remaining in the form of soot.

### What is in Soot? The Different Forms of Carbon

A component of soot is colloidal carbon, which is also manufactured under more controlled conditions as carbon black. This is a nanoparticle that has been used for centuries as a pigment in inks, paints, and finishes; today, it is also used as a reinforcing agent in rubber, notably in tires. Carbon black is actually small enough that it will enter the skin. Workers at tire factories may sweat out carbon black onto their clothes and sheets for a week or two after they have ceased employment.

Elemental carbon is also used in the form of graphite as a lubricant (Fig. 3), or to make extremely strong carbon fiber material used in bicycles and tennis rackets.

A rare component of soot is a cylindrical form of carbon called a nanotube. Carbon nanotubes can be thought of as a single layer of graphite (called a graphene sheet) rolled into a cylindrical tube. Variants of the structure exist, depending on

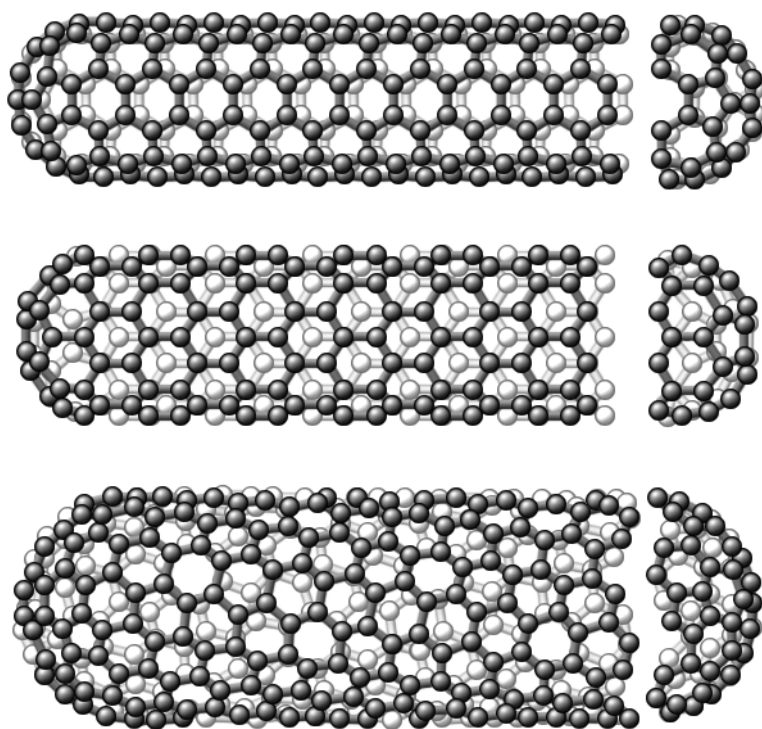


**Figure 3** Molecular model of graphite. Each of the atoms is a carbon molecule bound to three other carbon molecules in the same plane. The planar surfaces do not have covalent

links and are therefore free to move relative to one another, which gives graphite its lubricant properties. Image reproduced courtesy of Samantha J. Shanley, University of Bristol.

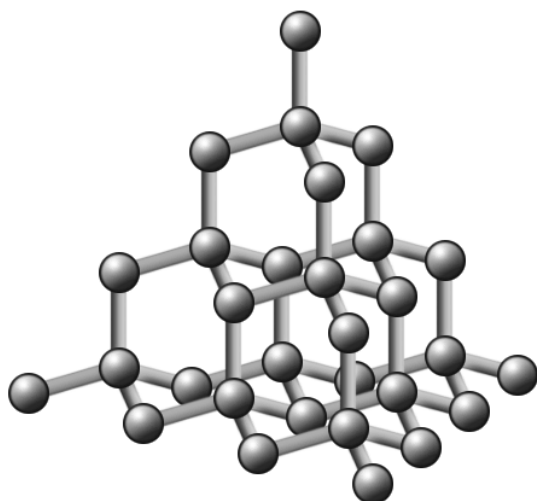
how the ends of the sheet connect and the diameter of the cylinder (Fig. 4). These tubes may or may not have a curved cap on either end. Carbon nanotubes are many times stronger than steel, and conduct electricity better than copper – as will be discussed in a later chapter. Carbon nanotubes have become iconic devices for the field of nanotechnology. Small companies are now in the process of developing nanotubes into transistors and memory devices for computers. It is expected that ton quantities of carbon nanotubes will be produced annually within a few years.

Under extreme pressure, elemental carbon will also spontaneously form into a very different crystalline form called a diamond (Fig. 5). Diamond is the densest form of carbon, packing the most atoms into the smallest area. (Next time you see a multi-karat chunk of diamond on somebody's ring, don't get jealous; just remind yourself that it's basically a hunk of very compressed charcoal).



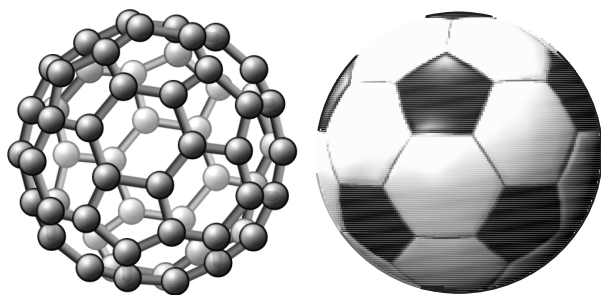
**Figure 4** Carbon nanotube structures. Each carbon is bound to each other in a cylindrical arrangement. These may be thought of as graphite planes that have been cut and rolled up. Slightly different arrangements occur, depend-

ing upon how the sheets are cut and the diameter of the tube. Tubes may or may not have a cap at either end. Images reproduced courtesy of Samantha J. Shanley, University of Bristol.



**Figure 5** Molecular model of diamond. Each carbon atom is bound to three others in a three-dimensional crystal. Image reproduced courtesy of Samantha J. Shanley, University of Bristol.

An even more striking version of carbon is a molecule called buckminsterfullerene, because it's structure resembles the geodesic domes built by the famous architect and visionary Buckminster Fuller. Formally, this structure is called a truncated icosahedron, and consists of alternating hexagons and pentagons. Look at it closely and you will notice it looks more or less exactly like a soccer ball (or football to all but Americans – we have our own eccentric version of a football) with the same arrangement of pentagons and hexagons (Fig. 6).



**Figure 6** Chemical structure of Buckminsterfullerene-C60. Each carbon is bound to three other carbons in a pseudo-spherical arrangement consisting of alternating pentagonal

and hexagonal rings, in the manner of a soccer ball. Hence its nickname, buckyball. C60 image reproduced courtesy of Samantha J. Shanley, University of Bristol.

Common to diamonds, graphite, carbon black and carbon nanotubes is the chemical formula –  $C_n$  – where  $n$  is the number of carbon atoms. All of the wildly different attributes of the various forms of carbon come about merely through the altered arrangement of those carbon atoms into molecules. Though carbon linked only to itself comes in a variety of forms, it hardly stops there: in combination with other elements, carbon forms about sixteen million different compounds. All life, as far as we know, is based on carbon chemistry.

If we can get this much utility out of carbon, how much more can we do with control over the placement of over all of the available elements?

### **An Alternative Nature**

Biology, for all its genius, paints with a limited pallet – mainly carbon, hydrogen, nitrogen, oxygen and phosphorus, with some trace metals and salts thrown in for variety. These, in turn, are elaborated into only a few basic molecular types – proteins, nucleic acid, lipids, and carbohydrates. In contrast, chemists have the whole periodic table with which to work their magic. Until recently, their methods were relatively primitive and only small molecules could be efficiently manufactured. Now, nanotechnology seeks to unite chemists with physicist, engineers, and biologists to create molecular structures of unprecedented complexity and size. These structures can be used to create new materials and even nanoscale machines and artificial organisms. We are on the verge of creating what might be described as an alternate Nature.

All living things on this planet, from the tiniest virus to the tallest tree to the sperm whale in the ocean, share the same genetic code and substantially the same manufacturing scheme for putting together their various components. Out of the science of molecular biology came the recognition that there is substantial unity in the biochemical make-up of all creatures on the planet.

Now suppose that we could consciously control manufacture at the molecular level in the way that living things do. Inorganic components could be married with biomolecules. Building materials could have intrinsic self-repair capabilities. Skyscrapers could, in theory, be built such that the whole structure was covalently linked into one super molecule. Would this be a better way to build things?

### **Money Makes the World Go 'Round**

The problem with some more enthusiastic blue-sky scenarios – the fly in the blue-sky – as always, is economics. In Neil Stephenson's sci-fi epic *The Diamond Age* [2], one of the first fiction works to focus on nanotechnology, buildings were grown from seed and raw materials with the help of molecular assemblers. Imagine, for instance, that you could grow a barn that way. Or you could hire a few Amish farmers and they will nail up a lovely barn for you in a weekend. Which

really makes the most sense, from an economic standpoint? It would take a lot of barns to justify the development costs of the nanotech version.

Money, as the immoderate emcee in Bob Fosse's *Cabaret* reminded us, is what makes the world go 'round. Without its commercial appeal, nanotechnology would not go far. Nanopioneering products so far been modest in terms of products that have been produced and profits they have generated. Small nanoparticles are used to make sun-blocking cosmetics. Nanoparticles are also used as a slurry to polish silicon used in making semiconductors. Carbon nanotubes have been used as a reinforcing material in tennis rackets and in polyurethane. NanoTex stain-resistant fabrics are used to make clothing. Mercedes-Benz includes in its paint jobs nanometer-sized ceramic particles that makes the surface more scratch-resistant and helps keep it glossy. Similar particles are used in floor tiles. InMat had developed a thin coating for the inside of tennis balls that retards the loss of air pressure, extending their useful lifetime. There are potential applications of this process for everything inflatable, from car tires to helium balloons.

And what about self-cleaning windows? Talk about a boon to humanity! This invention relies on a coating, only 40 nm thick, which contains a photocatalyst that uses the sun's UV energy to break down organic debris that collects on the windows. A second feature of the coating is that it is chemically hydrophilic (water-loving). Water does not bead up on the glass, but sheets off evenly.

A scientist at the University of Queensland, Michael Harvey, has invented a nanoscale coating called Xerocoat that is actually a thin film of glass full of tiny bubbles. Xerocoat prevents fogging on such things as spectacles, automobile windows and bathroom mirrors. "We are taking nanotech out of the lab and putting it in the bathroom," says Harvey.

Nanotechnology has already established a foothold within your computer. The read-heads of newer hard drives are built by the nanoscale deposition of thin films of "giant magnetoresistant" material. This material has the property of changing its resistance to the flow of electricity when it encounters a magnetic field. The read-head glides over the hard-drive at speeds up to 80 miles an hour suspended on a cushion of air only 10 nm above the surface of the drive. The magnetically encoded data on the disk are translated into electrical current as the read-head flies along.

In terms of dollar volume, the most important nanotech products right now are probably nanoparticle catalysts used in the distillation of petroleum and its byproducts.

The real harvest of nanotechnology is yet to come. But technology does not develop in a vacuum. Ideas do not jump from the head of a scientist or engineer into reality. The translation of ideas to prototype to product requires great inputs of both toil and capital. And all of that ingenuity and investment may be wasted if the society or the market is not ready for the final product.

### Who Knows About Nano?

“Everybody knows that nanotech is important,” says Bob Gregg, executive vice president of FEI Corp., which makes electron microscopes. “Just mention the word, and you can get a meeting with anybody [in the federal government] in Washington D.C. Of course nobody knows what it means ...”

Despite a fair amount of media coverage, the promise of nanotechnology is not much appreciated by the general public. This was brought home to me last year, when I gave a presentation at a convention called ‘Imaging and Imagining Nanoscience and Engineering’, sponsored by the University of South Carolina in the city of Columbia. The night before my talk, as usual, I ran through my slides and gave a solitary performance for the benefit of my reflection in the window of my hotel room. This kind of concentration at night tends to get me too wired to sleep, so I went down to the bar. At that time, perhaps 25 people were assembled there in various states of intoxication. I quickly met up with a man who was staying at the hotel as a mentor for a convention of teen-age journalists. Despite being a journalist and therefore open to a wide variety of general information, this man claimed to have never heard the word “nanotechnology.” Emboldened by a couple of beers, we proceeded to poll those assembled in the bar to determine if any of them understood the term. There was exactly one other patron there, other than myself, who admitted to knowledge of nanotechnology. An aerospace engineer, he opined that the university and state government were interested in nano only because they thought it would somehow provide jobs for South Carolina. This particular engineer was African-American; ironically, his female companion was at first very adamant that he not talk to us. Because she was a northern black recently moved to the South, she had the mistaken impression that my journalist compadre and I were engaged in some Southern whiteboy crusade to prove that black people were ignorant. I am quite sure that most people in the United States – white, black, Latino or indifferent – either have never heard of nanotechnology or have a vast misunderstanding about what it is about. I doubt that the rest of the world is any different.

Senator Ron Wyden (D.-Oregon), who is the co-author of the Twenty-First Century Nanotechnology R&D. Act, tells the story of one of his constituents, an elderly lady, who accosted him at a local supermarket. “Senator Wyden, I don’t know much about this ‘nano-nology,’” she says, “... but I’m glad you’re doing it.” Hopefully, this book will increase public knowledge about nanotech, the people behind it, and why they’re doing what they’re doing.

Nanotechnology requires not only scientists and engineers, but also entrepreneurs with vision, not to mention patent lawyers and marketing agents. Right now, nanotechnology is the sphere of a small number of entrepreneurial companies and a few large giants, like IBM, that have an eye for the future. An economic depression, a World War or an overwhelming natural disaster, like global warming, have the potential to derail the technological future in the making. At least for a while.

## The Promise of Nano

Warnings in place, let us examine some of the claims that are made for nanotechnology in the near future and beyond.

The promises of nanotechnology are ubiquitous in nature: To make that point, Table 2 lists the use of “nano” as a prefix in words that are often used in the nanotech domain, even if they haven’t yet quite made it into *Webster’s Dictionary*. All of the terms below were actually abstracted from this book. Like any good writer, I am not averse to an occasional neologism if I can’t find an extant English word that seems to work just right. However, I do not claim any of the words below as my own.

**Table 2** The proliferation of “Nano” as a Prefix.

| <b>nanoage</b>    | <b>nanocrystals</b>   | <b>nanomagnetic</b> | <b>nanoscale</b> |
|-------------------|-----------------------|---------------------|------------------|
| nanoarray         | nanocube              | nanomanipulator     | nanoscience      |
| nanoassembly      | nanodevice            | nanomaterial        | nanoscope        |
| nanobacteria      | nanodivide            | nanomedicine        | nanosecond       |
| nanobiologist     | nanodomain            | nanometer           | nanoshell        |
| nanobiomedicine   | nanoelectromechanical | nanomicelle         | nanostuctured    |
| nanobiotechnology | nanoelectronics       | nanoparticle        | nanostuctures    |
| nanobot           | nanocapsulation       | nanoparticulate     | nanoswarm        |
| nanocapsule       | nanofabrication       | nanophase           | nanosystems      |
| nanocassette      | nanofibers            | nanoplatelates      | nanotechnology   |
| nanocatalyst      | nanofilter            | nanoporous          | nanotool         |
| nanocomponent     | nanofluidics          | nanopowder          | nanotube         |
| nanocomposite     | nanolayer             | nanoproduct         | nanotweezers     |
| nanconnections    | nanoliter             | nanoreactor         | nanowire         |
| nanocosm          | nanolithography       | nanoreplicator      | nanoworks        |
| nanocrystalline   | nanomachine           | nanorobotics        | nanoworld        |

Table 2 is hardly an exhaustive list, particularly if you start including the names of companies – NanoInk, NanoSphere, Nano-Opto, Nanoproprietary, Nanoset, Nanosys, etc. – or the names of products – Nano-fur, NanoReader, NanoSolve, Nanobac.

„Micro-“, as a prefix – as in microscope or microbe or microelectronics – has been part of the language for many years. “Micro” actually has a technical meaning – it means one-millionth. A micron, for instance, is one millionth of a meter. In popular usage, however, “micro-” has devolved into a prefix meaning simply “very small.” Even in technical usage, this is true.

“Nano-” also has a technical meaning – it means one-billionth. Since nanoscale engineering has become possible, “nano” is undergoing a linguistic expansion that is overtaking micro. This transition is being accelerated quickly by firms and marketing trying to take advantage of the buzz surrounding nanotechnology. Nano-, in popular usage, will perhaps in time come to mean very, very small, but not necessarily exactly nanoscale. At the same time, micro- remains a part of the language. Thus, we talk about atomic force microscopes, even though they are used primarily to image objects – atoms and molecules – that are measured in nanometers and even angstroms (one-tenth of a nanometer). Likewise, the term “microfabrication” is often used, even when the subject is really nanofabrication. It is unlikely that this confusion in the language will be resolved anytime soon.

As almost every technology will soon have some nano-component, the term “nanotechnology” may ironically become obsolete, as the word will seem to contain an internal redundancy. However, a residue of nano-prefixed words will be left in the language forever.

Besides basic materials, nanotechnology already encompasses medicine, electronics, energy production, and computing.

Nanoparticles already under development deliver drugs in a targeted fashion to specific cells in the body. Thus, it may be possible to kill cancer cells with a potent toxin without significant damage to normal cells. Nanoscale devices will eventually be employed as drugs or for drug delivery; in assays used for medical diagnosis, drug discovery, or basic biological research; as contrast agents for MRI imaging; and in imaging instruments, like X-ray devices. Exquisitely sensitive biosensors will allow the monitoring of a thousand different parameters of our health from a single drop of blood. Similar sensors, cheap and ubiquitous, will monitor the environment for dangerous chemicals, toxins, and even viruses – serving as a kind of external immune system. Cameras with nanoscale components will take exquisitely detailed pictures of our tissues from within our bodies.

Genetic sequencing will become extremely rapid and cheap. It may be possible to have your own personal genome sequenced over the weekend for about the cost of many current medical tests. With that information, your doctor will tell you probably more than you wished to know about your vulnerability to cancer, diabetes, and degenerative diseases.

Electronics will become molecular, with devices connected by nanoscale wire. Instead of being elaborately manufactured, electronic elements may self-assemble, or be printed onto flexible sheets. The desktop computer may be enclosed into a thin surface laminated right onto the desktop, taking up no room whatsoever. Electronics may be built into your clothing.

New solar energy devices that mimic photosynthetic pathways will become available, reducing our reliance on fossil fuels. Nano-enabled solar cells will

extract hydrogen from water to be used as in fuels cells. Other energy devices will convert ambient heat into electricity. Already, a biothermal battery for medical implants is under development that recharges itself by converting body heat to energy. Other medical implants may run off of the body's own biochemistry, requiring no other power source.

As electronic circuitry becomes molecular, it will become possible for our nervous system to exchange information with electronic devices. The first beneficiaries of this technology may be paraplegics and quadriplegics who will be able to use electronics to circumvent their damaged spinal cords. A device in the brain will be able to determine the person's intentions and convert these into electronic signals to the arm and leg muscles, allowing these once helplessly paralyzed people to resume more or less normal functioning.

As the technology improves, we may avail ourselves of memory implants that help us to remember information. Today, many people could not function effectively in their jobs or personal lives without the aid of computers. Tomorrow, this cooperation may be furthered by an actual physical or electronic connection between the brain and the computer.

Already well developed is a nanotech field called "spintronics," in which information is conveyed by the spin of electrons, rather than the charge (see Chapter 7). Further along, we may have quantum computing, where processing is actually performed through the interaction of quantum states within atoms. This would allow the compression of computing power on a truly astonishing scale. Computing power equivalent to all of the computers ever manufactured, including the human kind, might be represented in a few cubic centimeters of matter.

With the remarkable advances promised by nanotechnology, it is not surprising that governments around the world want a piece of it. In 2003, U.S. President Bush signed into law the 21st Century Nanotechnology Research and Development Act, which promised \$3.7 billion in federal funds for nanotech programs. About \$ one billion of this will be spent in fiscal year 2005. The Japanese government is matching U.S. funding dollar for dollar (or rather, the yen equivalent). The Chinese and Koreans have very active nanotechnology development programs. Europe, with some trepidation, is following the path of its trading partners; overall public funding is reportedly on the order of 700 million annually for nanotech.

## Skeptics

The Europeans have a recent history of being technology skeptics. For example, environmentalists on the continent reviled genetically modified grains as "Frankenfoods," on the theory that modified genes in maize or soybeans would have potentially disastrous health effects on the consumer. The various Green parties were successful in at least delaying the introduction of these crops into Europe as well as the sale of genetically modified produce in the grocery stores. So far, the fear of adverse health effects has proven unfounded. Also, some of the benefits of

genetically modified food have been hard to deny; for instance, rice modified to produce higher levels of vitamin A should combat the blindness related to deficiency of this vitamin in the Third World.

No less a luminary than Charles, Prince of Wales, has raised alarms about the potentially disastrous effects of nanotechnology in the environment. Environmental groups, notably one called ETC (which is based in Canada, a European country located by chance in North America), have actually called for a ban on the further development of nanotechnology, claiming environmental and social effects of the technology have not been adequately considered. "It is important for this rapidly evolving technology to identify and resolve safety concerns (real or perceived) at the earliest possible stage. Successful exploitation of nanotechnologies needs a sound scientific basis for both consumer and commercial confidence," says the Commission of European Communities [3]. In Chapter 11, called Fear of Nano, we will consider some of the potential dangers of nanotechnology and ways to ameliorate them.

### Contemporaneous History

Writing a book about a rapidly expanding technology is always an exercise in frustration, as it is sure to be out of date as soon as it is published. Nanotechnology is particularly difficult because it is so all-encompassing that virtually every industry will eventually be affected. Try as I might to give a comprehensive view, some areas will be left out. I will be satisfied, however, with a sort of contemporaneous history, a journalistic report on events as they are now occurring.

Science and technology are not realized in a serene, ivory tower environment; rather, they are the products of intellect supplied with real world resources. Competition for those resources, whether from government, academic or private sources, is as fierce as anything found in Darwin's wild world. A pioneer of any kind is generally blessed with an active curiosity and a sense of adventure; naked aggression is also a valuable trait. This is a story about politics and personality as much as it is about science and engineering.

I would like to end this chapter with an apology to all of the Nanotech Pioneers whose names don't appear in this book. Obviously, a book of finite length cannot mention everybody whose work is interesting; choices have to be made. Chance and circumstance also play a part in what went in and what had to be left out.

### References

- 1 *The Journals of Lewis and Clark*, edited by Frank Bergen, Penguin Books, 1989.
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- 3 *Towards a European strategy for nanotechnology*. A Communication from the Commission of European Communities, 12.5.2004. [ftp://ftp.cordis.lu/pub/nanotechnology/docs/nano\\_com\\_en.pdf](http://ftp.cordis.lu/pub/nanotechnology/docs/nano_com_en.pdf)