Contents

Li	ist of Figures x			
Li	List of Tables			
1	Introduction			
	1.1 Motivation	3		
	1.2 Objectives of the Thesis	6		
	1.3 Research Methodology	9		
	1.3.1 Design Science	10		
	1.3.2 Research Design	11		
	1.3.3 Design Theory	13		
	1.3.4 Theoretical Contribution and Research Outcome	14		
	1.4 Practical Implications, Users, and Beneficiaries	15		
2	Healthcare Telematics in Germany with Respect to Security Issues	17		
	2.1 German Healthcare	17		
	2.1.1 Structure of German Healthcare	18		
	2.1.2 Characteristics of the German Healthcare Sector	19		
	2.1.2.1 Information Exchange and Distributed Information Flows is	n		
	German Healthcare System	19		
	2.1.2.2 Current Problems	20		
	2.1.2.3 Specifics of the German Healthcare Domain	21		
	2.2 Information Systems in Healthcare	22		
	2.2.1 Seamless Healthcare	24		
	2.2.2 Interoperability, Standards and Standardization Approaches in			
	Healthcare	24		
	2.2.2.1 Communication Standards	27		
	2.2.2.2 Documentations Standards and Standardization Approaches	31		
	2.2.3 Healthcare IS Architecture Types			
	2.2.3.1 Monolithic System	34		
	2.2.3.2 Heterogeneous System	35		
	2.2.3.3 Service-Oriented IS Architecture	35		



	2.2.4 Implications for Security Issues of Healthcare Information Systems	36	
	2.3 Healthcare Telematics	39	
	2.3.1 Definitions and Objectives of Healthcare Telematics	39	
	2.3.2 German Healthcare Telematics		
	2.3.2.1 Healthcare Telematics Infrastructure	42	
	2.3.2.2 Electronic Health Card	44	
	2.3.3 Risk and Security Issues of Healthcare Telematics	46	
	2.4 Summary	52	
3	Catalogue of IS Healthcare Security Characteristics	53	
	3.1 Legal Framework	54	
	3.1.1 Privacy	54	
	3.1.2 Legal Requirements	55	
	2.2 Description Control	5.0	
	3.2 Protection Goals		
	3.2.2 Controllability of Healthcare Information Systems		
	3.2.2 Controllability of Healthcare information Systems		
	3.3 Characteristics of IS Security Approaches with Respect to Healthcare	62	
	3.3.1 Literature Review	64	
	3.3.2 Overview of Healthcare IS Security Approach Characteristics	66	
	3.3.2.1 General IS Security Approach Characteristics		
	3.3.2.2 General IS Security Approach Characteristics with Reference	to	
	Healthcare	67	
	3.3.2.2.1 Type of the IS Security Approach		
	3.3.2.2.2 Common Characteristics		
	3.3.2.2.3 Methodology		
	3.3.2.2.4 Surrounding Conditions	76	
	3.3.2.3 Healthcare-Specific IS Security Approach Characteristics	77	
	3.4 Summary	81	
4	Analysis of IS Security Analysis Approaches	83	
	4.1 Overview	83	
	4.2 Review of Literature	84	
	4.3 Existing Literature Reviews	87	

	4.4 Th	eoretical Background	91		
	4.5 Sy	rstematization of IS Security Analysis Approaches	93		
	4.5.1	Checklists	95		
	4.5.2	Assessment Approaches	96		
	4.5.	2.1 Risk Assessment Approaches	96		
	4.5.	2.2 Security Control Assessment Approaches	98		
	4.5.3	Risk Analysis Approaches	101		
	4.5.4	IT Security Management Approaches	102		
	4.5.	4.1 The Plan-Do-Check-Act Approach of ISO 27001	104		
	4.5.	4.2 Best Practice Models	105		
	4.5.5	Legislation Accommodations	106		
	4.6 Ar	nalysis of IS Security Analysis Approaches with Respect to Healthcare	108		
	4.6.1	Examination of IS Security Approaches with Respect to General IS			
		Security Approach Characteristics	110		
	4.6.2	Examination of IS Security Approaches with Respect to General IS			
		Security Approach Characteristics with Reference to Healthcare	111		
	4.6.3	Examination of IS Security Approaches with Respect to Healthcare			
		Specific IS Security Approach Characteristics	113		
	4.7 Su	ımmary	114		
		•			
5	Designii	ng a Security Analysis Method for Healthcare Telematics in			
	Germany				
	5.1 Introduction				
	5.2 Re	esearch Approach	118		
	5.3 M	ethod Engineering	120		
	5.4 De	escription of Method Elements	121		
	5.4.1	5.4.1 Method Chains and Alliances			
	5.4.2	Method Fragments	122		
	5.4.3	Method Chunks.	126		
	5.4.4	Method Components	126		
	5.4.5	Theoretical Background	127		
	5.5 Fo	rmal Description of the Concept of Method Engineering	128		
	5.6 Ha	Good Control And ada Mada d	122		
	3.0 Ha	tSec Security Analysis Method	132		

	5.6.1		om Plan-Do-Check-Act Approach to a IS Security Analysis Meth		
			Healthcare Telematics		
	5.6.2	De	sign of the HatSec Security Analysis Method		
	5.6.		Method Blocks and Method Fragments		
	• • • • • • • • • • • • • • • • • • • •	.2.2	Overview of the Building Blocks of the HatSec Method		
		.2.3	Perspectives of the HatSec Method		
		.2.4	Context and Preparation of the Security Analysis		
		.2.5	Security Analysis Process		
		.2.6	Security Analysis Product		
		.2.7	Two Sides of the HatSec Method		
	5.6	.2.8	HatSec Structure	154	
	5.7 R	eviev	v of the HatSec Security Analysis Method	161	
	5.8 St	umm	ary	165	
6	Practic	Practical Application of the HatSec Method			
	6.1 Se	electe	ed Case Studies	168	
	6.2 A	ssess	ment and Classification of Threats around the Electronic Health		
	C	ard		169	
	6.2.1 Ov		erview	170	
	6.2.2	Ide	entification and Classification of the Attackers	171	
	6.2.3	Ide	entification and Classification of the Attack Types	173	
	6.2.4	Su	mmary	175	
	6.3 A	nalys	sis of the Applications of the Electronic Health Card	176	
	6.3.1	Ov	/erview	177	
	6.3.2	Da	ita Acquisition	177	
	6.3.3	Pro	ocess Analysis	178	
	6.3	.3.1	Actual Process	178	
	6.3	.3.2	Process Groups	180	
	6.3	.3.3	Future Process with eHC		
	6.3.4	Pa	tient Survey		
	6.3	.4.1	Age Groups Analysis		
	6.3	.4.2	Usage Groups Analysis	186	
	635	Su	mmary		

6.4 A	nalys	is of a Proposed Solution for Managing Health Professional Cards	
ir	ı Hosp	pitals Using a Single Sign-On Central Architecture	187
6.4.1	Ov	erview	188
6.4.2	Inc	luced Process Changes	189
6.4	1.2.1	General Changes	189
6.4	1.2.2	Discharge Letter Process	190
6.4.3	Ex	isting Approaches for Managing Smart Cards in Hospitals	191
6.4	1.3.1	The Decentralized Approach	191
6.4	1.3.2	The VerSA Approach	191
6.4	1.3.3	Disadvantages	192
6.4.4	Th	e Clinic Card Approach	192
6.4	1.4.1	Technical Architecture	193
6.4	1.4.2	Smart Card Management Unit	194
6.4	1.4.3	The Clinic Card and Card Middleware	194
6.4	1.4.4	Connector	195
6.4	1.4.5	Remote Access	195
6.4	1.4.6	Unique Characteristics of the Central Approach	196
6.4	1.4.7	Discharge Letter Process	197
6.4.5	Co	mparison of the Presented Approaches	198
6.4	1.5.1	Evaluation Framework	198
6.4	1.5.2	Hardware Requirements and Integration	198
6.4	1.5.3	Session Management	199
6.4	1.5.4	Usability	199
6.4	1.5.5	Further Value-Adding Aspects	200
6.4.6	Su	mmary	200
		y Analysis of the German Electronic Health Card's Components on	
		retical Level	
6.5.1		erview	
6.5.2		mponents and Documents Considered in this Security Analysis	
	5.2.1	Security Analysis of the Electronic Health Card's Components	
	6.5.2.		
	6.5.2.	,,	
(6.5.2.		
	6.5.2.		
	6.5.2.		204
(6.5.2.	· · · · · · · · · · · · · · · · · · ·	
		Used "Zone-Concept"	204

6.:	5.2.1	.7	Adjustment of Minimum Standards Happens Infrequently	204
6.:	5.2.1	.8	Inadequate Assumption About the Security of the Systems	
			Inside the Healthcare Telematics Infrastructure	205
6.	5.2.1	.9	Security by Obscurity	205
6.5.2	2.2	Ana	llysis of the Connector	205
6.	5.2.2	2.1	Imprecise Specification of the Blacklist Management	205
6.	5.2.2	2.2	Imprecise Specification of the Trusted Viewer Interface	206
6.	5.2.2	2.3	Security Issues Concerning the Communication with the	
			Trusted Viewer	206
6.	5.2.2	2.4	Security Issues Concerning the Communication with the	
			Primary System	207
6.5.2	2.3	Ana	alysis of the Primary System	208
6.	5.2.3	3.1	Insufficient Classification of the Processed Data	208
6.	5.2.3	3.2	Unassigned Assumption About the Presence of Security	
			Measures Provided by Present Primary Systems	208
6.	5.2.3	3.3	Analysis of the Card Reader	209
6.5.2	2.4	Ado	ditional Deficiencies Found During this Security Analysis	209
6.	5.2.	4.1	Missing Specification for Services to Manage eHC Data by	
			the Insured	209
6.	.5.2.	4.2	Missing Backup Processes for Essential Healthcare	
			Telematics Processes	210
6.	5.2.	4.3	Possibility of Health Insurance Number Readout by	
			Unauthorized Persons	210
6.	.5.2.	4.4	Logs for SMC Access on the Primary System May Not Be	
			Reliable	210
6.	5.2.4	4.5	Problematic Assumptions about the Environment of the	
			Medical Service Provider	211
6.	5.2.	4.6	Insider Attacks from Medical Service Provider's Personnel	
			Not Considered in Threat Analysis	211
6.	.5.2.	4.7	Potential for an Attack on the Medical Service Provider's	
			LAN Considered As Too Low	211
6.5.2.4.8		4.8	Missing Best-Practices Recommendations for Software Keys	211
6.	.5.2.	4.9	Missing Emergency Plans Regarding New Attacks on	
			Components and Cryptographic Methods	212
6.5.3	Att	ack-	Tree Analysis	212
6.5.4	Su	mma	ry	212

	6.6	Security Analysis of the German Electronic Health Card's Peripheral Parts	
		in Practice	213
	6.6	.1 Overview	215
	6.6	2.2 Laboratory's / Physician's Practice Configuration	215
	6.6	5.3 Network Traffic Analyzes and its Consequences	217
	6.6	.4 Attacking the German Electronic Health Card	218
	(6.6.4.1 Permanent-Card-Ejection	220
	(5.6.4.2 Fill or Delete Prescriptions	220
	(6.6.4.3 Block a Card's PIN	221
	(5.6.4.4 Destroy a Card	222
	(6.6.4.5 Spy Personal Information	222
	6.6	5.5 Summary	224
	6.7	Case Studies: Lessons Learned	225
7	Appraisal of Results		
	7.1	Overview	227
	7.2	Progress of Cognition	229
	7.3	Design Proposals for Healthcare Telematics	230
Bil	oliogra	aphy	233
Аp	Appendix		