

Table of Contents

Foreword	V
Part I Theoretical Models for Photonic Crystals	1
Introduction to Part I.....	3
1 Models for Infinite Crystals.....	5
1.1 Plane Wave Expansion.....	5
1.1.1 Maxwell's Equations.....	5
1.1.2 The Floquet-Bloch Theorem.....	6
1.1.3 Hermiticity of the Field Operator.....	10
1.1.4 Simple Examples of Bloch Functions.....	11
1.1.5 General Plane Wave Method	13
1.2 Other Methods for the Calculation of the Photonic Band Gaps of an Infinite Crystal: the KKR Method	21
1.3 Photonic Band Diagram	21
1.3.1 The Irreducible Brillouin Zone	22
1.3.2 Band Diagrams of One-Dimensional Crystals	25
1.3.3 Band Diagrams of Two-Dimensional Photonic Crystals.....	33
1.3.4 Off-Axis Propagation in One and Two-Dimensional Photonic Crystals.....	40
1.3.5 Band Diagrams of Three-Dimensional Photonic Crystals.....	41
1.4 Infinite Crystals with Defects	43
1.4.1 Point Defects.....	44
1.4.2 Coupling of Point Defects.....	50
1.4.3 Supercell Method.....	52
1.4.4 Methods derived from Tight-Binding Methods in Solid State Physics.....	53
1.4.5 Extended Defects.....	54
1.4.6 Semi-Infinite Crystals and Surface Defects.....	56
1.4.7 Density of States in Photonic Crystals with or without Defects.....	59
2 Models for Finite Crystals.....	65
2.1 Transfer, Reflection and Transmission Matrix Formulations.....	65
2.1.1 Reflection and Transmission Matrices	66
2.1.2 Pendry Method.....	72

2.2 Finite Difference in Time Domain (FDTD) Method	80
2.2.1 Numerical Formulation of Maxwell's Equations.....	80
2.2.2 Case of an Incident Pulse	84
2.2.3 Absorption Region and Boundary Conditions	86
2.2.4 Practical Implementation and Convergence of the FDTD Method.....	87
2.2.5 Examples of Results obtained for a Point Source with the FDTD Method	89
2.3 Scattering Matrix Method.....	91
2.4 Other Methods: Integral and Differential Methods, Finite Element Method, Effective Medium Theory	100
2.5 Numerical Codes available for the Modelling of Photonic Crystals.....	104
3 Quasi-Crystals and Archimedean Tilings.....	107
3.1 Photonic Quasi-Crystals.....	108
3.2 Archimedean Tilings.....	111
3.3 From Photonic Quasi-Crystals to the Localization of Light.....	115
4 Specific Features of Metallic Structures	121
4.1 Bulk Metals: Drude Model, Skin Effect and Metallic Losses.....	121
4.1.1 Drude Model.....	123
4.1.2 Low-Frequency Region: Skin Effect and Metallic Losses	124
4.1.3 From the Infrared to the Visible and UV Regions	125
4.2 Periodic Metallic Structures at Low Frequencies	126
4.2.1 Plasmon-Like Photonic Band Gap.....	126
4.2.2 Transmission Spectra of Metallic and Dielectric Photonic Crystals...	129
4.2.3 Complete Band Gaps in Metallic Photonic Crystals.....	131
4.2.4 Structures with Continuous Metallic Elements and Structures with Discontinuous Metallic Elements	132
4.3 Periodic Metallic Structures at Optical Frequencies. Idealized Case of a Dispersive Lossless Dielectric	134
4.4 Surface Waves.....	137
4.4.1 Surface Plasmons at a Metal/Dielectric Plane Interface	137
4.4.2 Propagation of Surface Plasmons along a Periodically Modulated Metal/Dielectric Interface and Local Enhancement of the Field.....	140
4.4.3 Wood's Anomalies: Phenomenological Theory	144
4.4.4 Photonic Band Gaps for the Propagation of Surface Plasmons at Periodically Modulated Metal/Dielectric Interfaces	148
4.4.5 The Photon Sieve.....	150
4.4.6 Surface Waves in Metals at Radiofrequencies.....	151
Part II Optical Properties of Photonic Crystals.....	157
Introduction to Part II. The 'Many Facets' of Photonic Crystals	159
5 Control of Electromagnetic Waves.....	163
5.1 The Photonic Crystal Mirror.....	163

5.1.1 The Semi-Infinite Photonic Crystal: Mirror or Diffraction Grating? .	163
5.1.2 Specular Reflection at a Semi-Infinite Crystal.....	166
5.1.3 Finite Photonic Crystals as Semi-Transparent Mirrors.....	167
5.2 Photonic Crystal Waveguides.....	168
5.2.1 Index Guiding and Photonic Bandgap Guiding.....	168
5.2.2 Three-Dimensional Photonic Crystal Waveguides	170
5.2.3 Two-Dimensional Photonic Crystal Waveguides	172
5.2.4 Density of States and Multiplicity of Guided Modes.....	174
5.2.5 Coexistence of Index Guiding and Photonic Bandgap Guiding	178
5.3 Resonators	185
5.3.1 Localized Modes. Origin of Losses	185
5.3.2 Density of States.....	187
5.3.3 Waveguide formed by Coupled Cavities.....	188
5.4 Hybrid Structures with Index Guiding. The Light Line	190
5.4.1 Light Cone of a Uniform Waveguide	190
5.4.2 Fictitious Periodicity	191
5.4.3 True One-Dimensional Periodicity	191
5.4.4 Channel Waveguides in Two-Dimensional Photonic Crystals.....	195
6 Refractive Properties of Photonic Crystals and Metamaterials.....	197
6.1 Phase Refractive index, Group Refractive Index and Energy Propagation	197
6.1.1 Phase Velocity and Group Velocity.....	197
6.1.2 Refractive Indexes and Dispersion Diagrams	201
6.1.3 Effective Phase Index and Group Refractive Index	202
6.2 Refraction of Waves at the Interface between a Periodic Medium and a Homogeneous Medium	203
6.2.1 Summary of Refraction Laws in Homogeneous Media.....	203
6.2.2 Some Well-Known Anisotropic Media: Birefringent Solid-State Crystals.....	205
6.2.3 Construction of the Waves Transmitted in a Photonic Crystal.....	206
6.3 Superprism and Negative Refraction Effects	207
6.3.1 Superprism Effect.....	207
6.3.2 Ultra-Refraction and Negative Refraction.....	208
6.4 Metamaterials	210
6.4.1 Simultaneous Control of the Dielectric Permittivity and the Magnetic Permeability.....	210
6.4.2 Negative Refraction in a Slab of Perfect Left-Handed Material	212
6.4.3 Stigmatism of a Slab of Perfect Left-Handed Material.....	215
6.4.4 Perfect Lens or Superlens?.....	217
6.4.5 Fabrication of Negative Refractive Index Metamaterials	218
6.4.6 Electromagnetic Cloaking	221
7 Confinement of Light in Zero-Dimensional Microcavities.....	225
7.1 Microcavity Sources. Principles and Effects.....	226

7.1.1 A Classical Effect: the Angular Redistribution of the Spontaneous Emission and the Example of Planar Microcavities.....	226
7.2 Three-Dimensional Optical Confinement in Zero-Dimensional Microcavities	245
7.2.1. Different Types of Zero-Dimensional Microcavities.....	245
7.2.2 Control of the Spontaneous Emission in Weak Coupling Regime. Some Experimental Results	250
7.2.3 Single-Mode Coupling of the Spontaneous Emission	254
7.2.4 Towards Strong Coupling Regime for Solid State ‘Artificial Atoms’	256
8 Nonlinear Optics with Photonic Crystals.....	261
8.1 The Problem of Phase Matching	262
8.2 $\chi^{(1)}$ Photonic Crystals	265
8.2.1 One-Dimensional $\chi^{(1)}$ Photonic Crystals	265
8.2.2 Two-Dimensional $\chi^{(1)}$ Photonic Crystals.....	273
8.3. $\chi^{(2)}$ Photonic Crystals	274
8.3.1 One-Dimensional $\chi^{(2)}$ Photonic Crystals.....	274
8.3.2 Two-Dimensional $\chi^{(2)}$ Photonic Crystals.....	277
8.4 Photonic Crystals with Third Order Susceptibility	279
Part III Fabrication, Characterization and Applications of Photonic Bandgap Structures	283
Introduction to Part III	285
9 Planar Integrated Optics.....	287
9.1 Objectives, New Devices and Challenges.....	287
9.2 Fundamentals of Integrated Optics and Introduction of Photonic Crystals	290
9.2.1 Conventional Waveguides	290
9.2.2 Photonic Crystals in Integrated Optics	295
9.3 Planar Photonic Crystals in the Substrate Approach	306
9.3.1 DFB and DBR Laser Diode Structures.....	306
9.3.2 Photonic Crystals, a Strong Perturbation for Guided Modes	307
9.3.3 Choice of the Diameter of the Holes and of the Period of the Crystal	309
9.3.4 Specific Parameters for InP- and GaAs-Based Systems.....	310
9.3.5 Deep Etching	310
9.4 Membrane Waveguide Photonic Crystals	311
9.4.1 Free-Standing Membranes	311
9.4.2 Reported Membranes	314
9.5 Macroporous Silicon Photonic Substrates.....	314
9.6 Characterization Methods for Photonic Crystals in Integrated Optics.....	318
9.6.1 Internal Light Source Method.....	318

9.6.2 End-Fire Method.....	321
9.6.3 Wide-Band Transmission-Reflection Spectroscopy	324
9.7 Losses of Photonic Crystal Integrated Optical Devices.....	324
9.7.1 Analysis of Losses in Planar Photonic Crystal Waveguides	324
9.7.2 Measurement of Propagation Losses in Straight Photonic Crystal Channel Waveguides	326
9.7.3. Losses in the Slow-Light Regime	329
9.7.4 Waveguide Bends in Photonic Crystals and Bend Losses	329
9.7.5 Photonic Crystal Resonators and Quality Factors	330
9.8 Photonic Crystal Devices and Functions : Recent Developments.....	333
9.8.1 Classification of devices.....	333
9.8.2 Coupled Resonators and Waveguides.....	335
9.8.3 Very high-Q cavities.....	337
9.8.4 Other Devices and Optical Functions	339
10 Microsources.....	345
10.1 High-Efficiency Light-Emitting Diodes.....	345
10.1.1 Solutions for the Extraction of Light without Confinement	345
10.1.2. Enhanced Extraction Efficiency through Planar Confinement.....	347
10.1.3 Increase of the Extraction Efficiency using Two-Dimensional Photonic Crystals	350
10.2 Ridge-Type Waveguide Lasers confined by Photonics Crystals	352
10.3 Bulk Photonic Crystal Band Edge Lasers	355
10.4 Photonic crystal VCSELs.....	358
10.5 Microcavity Lasers.....	360
10.6 Potential Interest of Single-Photon Sources.....	364
11 Photonic Crystal Fibres.....	371
11.1 Another Implementation of Periodic Structures	371
11.2 Fabrication of Microstructured Optical Fibres.....	372
11.3 Solid-Core Microstructured Optical Fibres.....	375
11.3.1 Confinement Losses and Second Mode Transition.....	375
11.3.2 Attenuation and Bend Loss.....	378
11.3.3 Chromatic Dispersion Properties	378
11.3.4 Main Applications of Solid-Core Microstructured Optical Fibres...	380
11.4 True Photonic Crystal Fibres (PCF)	382
11.4.1 Photonic Bandgap Cladding.....	382
11.4.2 Losses of Photonic Crystal Fibres with Finite Cladding.....	385
11.4.3 Photonic Crystal Fibres with Optimised Structures	387
11.4.4 Main Applications of Photonic Crystal Fibres	389
12 Three-Dimensional Structures in Optics.....	393
12.1 Geometrical Configurations proposed for Three-Dimensional Structures	394
12.1.1 Structures with Omnidirectional Photonic Band Gaps	394
12.1.2 Incomplete Band Gap Three-Dimensional Structures	397

12.2 Examples of Fabrication Processes and Realizations of Three-Dimensional Photonic Crystals in the Optical Region	399
12.2.1 Complete Band Gap Structures.....	399
12.3 Metallic Three-Dimensional Photonic Crystals in the Optical Region.....	410
12.4 Three-Dimensional Photonic Crystals and Light Emitters.....	412
13 Microwave and Terahertz Antennas and Circuits	413
13.1 Photonic Crystal Antennas	414
13.1.1 Photonic-Crystal Antenna Substrates	415
13.1.2 Photonic-Crystal Antenna Mirrors	418
13.1.3 Photonic Crystal Antenna Radomes or Superstrates.....	422
13.2 Controllable Structures and Metamaterials.....	424
13.2.1 Principles and Characteristics of Electrically Controllable Photonic Crystals.....	424
13.2.2 Electrically Controllable Photonic Crystal Antennas	426
13.2.3 Antennas and Metamaterials.....	429
13.3 Microwave Circuits and Ultra-Compact Photonic Crystals	430
13.3.1 Ultra-Compact Photonic Crystals	430
13.3.2 Microwave Filters and Waveguides realised from Ultra-Compact Photonic Crystals.....	433
13.4 From Microwaves to Terahertz Waves	435
13.5 From Microwaves to Optics	436
13.5.1 Impedance Matching of Photonic Waveguides.....	437
13.5.2 Photonic Crystal THz Imaging System	439
13.5.3 'Microwave Inspired' Nanostructures and Nanodevices	440
Conclusion and Perspectives.....	443
Appendices	447
Appendix A. Scattering Matrix Method: Determination of the Field for a Finite Two-Dimensional Crystal formed by Dielectric Rods	449
A.1 Incident Field.....	449
A.2 Field inside the Rods.....	449
A.3 Field in the Vicinity of a Rod	452
Appendix B. Magneto-Photonic Crystals.....	459
Appendix C. Stigmatism of a Slab of Perfect Left-Handed Material: Integral for the Total Field.	463
References	467
Index.....	509