

Contents

1 Introduction –

Measurement Techniques and Applications

<i>Bharat Bhushan</i>	1
1.1 Definition and History of Tribology	1
1.2 Industrial Significance of Tribology	3
1.3 Origins and Significance of Micro/Nanotribology	4
1.4 Measurement Techniques	6
1.4.1 Scanning Probe Microscopy	6
1.4.2 Surface Force Apparatus (SFA)	13
1.4.3 Vibration Isolation	23
1.5 Magnetic Storage Devices and MEMS/NEMS	23
1.5.1 Magnetic Storage Devices	23
1.5.2 MEMS/NEMS	27
1.6 Role of Micro/Nanotribology and Micro/Nanomechanics in Magnetic Storage Devices and MEMS/NEMS	30
1.7 Organization of the Book	31
References	31

Part I Scanning Probe Microscopy

2 Scanning Probe Microscopy – Principle of Operation, Instrumentation, and Probes

<i>Bharat Bhushan, Othmar Marti</i>	37
2.1 Introduction	37
2.2 Scanning Tunneling Microscope	39
2.2.1 The STM Design of Binnig et al.	41
2.2.2 Commercial STMs	42
2.2.3 STM Probe Construction	45
2.3 Atomic Force Microscope	46
2.3.1 The AFM Design of Binnig et al.	50

2.3.2	Commercial AFMs	50
2.3.3	AFM Probe Construction	59
2.3.4	Friction Measurement Methods	66
2.3.5	Normal Force and Friction Force Calibrations of Cantilever Beams	71
2.4	AFM Instrumentation and Analyses	74
2.4.1	The Mechanics of Cantilevers	74
2.4.2	Instrumentation and Analyses of Detection Systems for Cantilever Deflections	79
2.4.3	Combinations for 3-D Force Measurements	93
2.4.4	Scanning and Control Systems	94
	References	103

3 Probes in Scanning Microscopies

	<i>Jason H. Hafner</i>	111
3.1	Introduction	112
3.2	Atomic Force Microscopy	113
3.2.1	Principles of Operation	113
3.2.2	Standard Probe Tips	114
3.2.3	Probe Tip Performance	115
3.2.4	Oxide-Sharpended Tips	118
3.2.5	FIB tips	118
3.2.6	EBD tips	119
3.2.7	Carbon Nanotube Tips	120
3.3	Scanning Tunneling Microscopy	129
3.3.1	Mechanically Cut STM Tips	130
3.3.2	Electrochemically Etched STM Tips	131
	References	131

4 Noncontact Atomic Force Microscopy and Related Topics

	<i>Franz J. Giessibl, Yasuhiro Sugawara, Seizo Morita, Hirotaka Hosoi, Kazuhisa Sueoka, Koichi Mukasa, Akira Sasahara, Hiroshi Onishi</i>	135
4.1	Introduction	135
4.2	Atomic Force Microscopy (AFM)	136
4.2.1	Imaging Signal in AFM	136
4.2.2	Experimental Measurement and Noise	137
4.2.3	Static AFM Operating Mode	138
4.2.4	Dynamic AFM Operating Mode	139
4.2.5	The Four Additional Challenges Faced by AFM	140
4.2.6	Frequency-Modulation AFM (FM-AFM)	142
4.2.7	Relation Between Frequency Shift and Forces	143
4.2.8	Noise in Frequency Modulation AFM: Generic Calculation ...	145
4.2.9	Conclusion	146
4.3	Applications to Semiconductors	146
4.3.1	Si(111)-(7 × 7) Surface	147

4.3.2	Si(100)-(2 × 1) and Si(100)-(2 × 1):H Monohydride Surfaces . .	149
4.3.3	Metal Deposited Si Surface	151
4.4	Applications to Insulators	155
4.4.1	Alkali Halides, Fluorides and Metal Oxides	156
4.4.2	Atomically Resolved Imaging of a NiO(001) Surface	162
4.5	Applications to Molecules	165
4.5.1	Why Molecules and Which Molecules?	166
4.5.2	Mechanism of Molecular Imaging	166
4.5.3	Perspectives	170
	References	171

5 Low-Temperature Scanning Probe Microscopy

	<i>Markus Morgenstern, Alexander Schwarz, Udo D. Schwarz</i>	179
5.1	Introduction	180
5.2	Microscope Operation at Low Temperatures	181
5.2.1	Drift	181
5.2.2	Noise	181
5.2.3	Stability	182
5.2.4	Piezo Relaxation and Hysteresis	182
5.3	Instrumentation	182
5.3.1	A Simple Design for a Variable-Temperature STM	183
5.3.2	A Low Temperature SFM Based on a Bath Cryostat	185
5.4	Scanning Tunneling Microscopy and Spectroscopy	187
5.4.1	Atomic Manipulation	188
5.4.2	Imaging Atomic Motion	189
5.4.3	Detecting Light from Single Atoms and Molecules	191
5.4.4	High-Resolution Spectroscopy	191
5.4.5	Imaging Electronic Wave Functions	199
5.4.6	Imaging Spin Polarization: Nanomagnetism	205
5.5	Scanning Force Microscopy and Spectroscopy	206
5.5.1	Atomic-Scale Imaging	209
5.5.2	Force Spectroscopy	214
5.5.3	Atomic Manipulation	218
5.5.4	Electrostatic Force Microscopy	218
5.5.5	Magnetic Force Microscopy	220
	References	225

6 Dynamic Modes of Atomic Force Microscopy

	<i>A. Schirmeisen, B. Anczykowski, Harald Fuchs</i>	235
6.1	Motivation: Measurement of a Single Atomic Bond	236
6.2	Harmonic Oscillator: A Model System for Dynamic AFM	242
6.3	Dynamic AFM Operational Modes	245
6.3.1	Amplitude-Modulation/Tapping-Mode AFM	246
6.3.2	Self-Excitation Modes	255
6.4	<i>Q</i> -Control	262

6.5	Dissipation Processes Measured with Dynamic AFM	268
6.6	Conclusion	273
	References	274

7 Molecular Recognition Force Microscopy:

From Simple Bonds to Complex Energy Landscapes

<i>Peter Hinterdorfer, Ziv Reich</i>	279
7.1 Introduction	279
7.2 Ligand Tip Chemistry	280
7.3 Immobilization of Receptors onto Probe Surfaces	283
7.4 Single-Molecule Recognition Force Detection	285
7.5 Principles of Molecular Recognition Force Spectroscopy	288
7.6 Recognition Force Spectroscopy: From Isolated Molecules to Biological Membranes	291
7.6.1 Forces, Energies, and Kinetic Rates	291
7.6.2 Complex Bonds and Energy Landscapes	294
7.6.3 Live Cells and Membranes	299
7.7 Recognition Imaging	300
7.8 Concluding Remarks	303
References	303

Part II Nanotribology and Nanomechanics: Fundamental Studies

8 Nanotribology, Nanomechanics and Materials Characterization

<i>Bharat Bhushan</i>	311
8.1 Introduction	311
8.2 Description of AFM/FFM and Various Measurement Techniques	314
8.2.1 Surface Roughness and Friction Force Measurements	314
8.2.2 Adhesion Measurements	320
8.2.3 Scratching, Wear and Fabrication/Machining	321
8.2.4 Surface Potential Measurements	321
8.2.5 In Situ Characterization of Local Deformation Studies	322
8.2.6 Nanoindentation Measurements	323
8.2.7 Localized Surface Elasticity and Viscoelasticity Mapping	324
8.2.8 Boundary Lubrication Measurements	330
8.3 Surface Imaging, Friction and Adhesion	330
8.3.1 Atomic-Scale Imaging and Friction	330
8.3.2 Microscale Friction	336
8.3.3 Directionality Effect on Microfriction	341
8.3.4 Surface-Roughness-Independent Microscale Friction	346
8.3.5 Velocity Dependence on Micro/Nanoscale Friction	351
8.3.6 Nanoscale Friction and Wear Mapping	354
8.3.7 Adhesion and Friction in a Wet Environment	356

8.3.8	Separation Distance Dependence of Meniscus and van der Waals Forces	363
8.3.9	Scale Dependence in Friction	364
8.4	Wear, Scratching, Local Deformation, and Fabrication/Machining	372
8.4.1	Nanoscale Wear	372
8.4.2	Microscale Scratching	373
8.4.3	Microscale Wear	374
8.4.4	In Situ Characterization of Local Deformation	381
8.4.5	Nanofabrication/Nanomachining	385
8.5	Indentation	385
8.5.1	Picoindentation	386
8.5.2	Nanoscale Indentation	387
8.5.3	Localized Surface Elasticity and Viscoelasticity Mapping	391
8.6	Boundary Lubrication	392
8.6.1	Perfluoropolyether Lubricants	392
8.6.2	Self-Assembled Monolayers	401
8.6.3	Liquid Film Thickness Measurements	406
8.7	Closure	408
	References	410

9 Surface Forces and Nanorheology of Molecularly Thin Films

	<i>Marina Ruths, Jacob N. Israelachvili</i>	417
9.1	Introduction: Types of Surface Forces	417
9.2	Methods Used to Study Surface Forces	420
9.2.1	Force Laws	420
9.2.2	Adhesion Forces	422
9.2.3	The SFA and AFM	422
9.2.4	Some Other Force-Measuring Techniques	425
9.3	Normal Forces Between Dry (Unlubricated) Surfaces	427
9.3.1	Van der Waals Forces in Vacuum and Inert Vapors	427
9.3.2	Charge-Exchange Interactions	430
9.3.3	Sintering and Cold Welding	431
9.4	Normal Forces Between Surfaces in Liquids	432
9.4.1	Van der Waals Forces in Liquids	432
9.4.2	Electrostatic and Ion Correlation Forces	434
9.4.3	Solvation and Structural Forces	437
9.4.4	Hydration and Hydrophobic Forces	440
9.4.5	Polymer-Mediated Forces	444
9.4.6	Thermal Fluctuation Forces	447
9.5	Adhesion and Capillary Forces	448
9.5.1	Capillary Forces	448
9.5.2	Adhesion Mechanics	449
9.5.3	Effects of Surface Structure, Roughness, and Lattice Mismatch	451
9.5.4	Nonequilibrium and Rate-Dependent Interactions: Adhesion Hysteresis	453

9.6	Introduction: Different Modes of Friction and the Limits of Continuum Models	455
9.7	Relationship Between Adhesion and Friction Between Dry (Unlubricated and Solid Boundary Lubricated) Surfaces	458
9.7.1	Amontons' Law and Deviations from It Due to Adhesion: The Cobblestone Model	458
9.7.2	Adhesion Force and Load Contribution to Interfacial Friction .	460
9.7.3	Examples of Experimentally Observed Friction of Dry Surfaces	466
9.7.4	Transition from Interfacial to Normal Friction with Wear	474
9.8	Liquid Lubricated Surfaces	475
9.8.1	Viscous Forces and Friction of Thick Films: Continuum Regime	475
9.8.2	Friction of Intermediate Thickness Films	477
9.8.3	Boundary Lubrication of Molecularly Thin Films: Nanorheology	479
9.9	Effects of Nanoscale Texture on Friction	493
9.9.1	Role of the Shape of Confined Molecules	493
9.9.2	Effects of Surface Structure	495
	References	497
10 Interfacial Forces and Spectroscopic Study of Confined Fluids		
	<i>Y. Elaine Zhu, Ashis Mukhopadhyay, Steve Granick</i>	517
10.1	Introduction	517
10.2	Hydrodynamic Force of Fluids Flowing in Micro- to Nanofluidics: A Question About No-Slip Boundary Condition	518
10.2.1	How to Quantify the Amount of Slip	519
10.2.2	The Mechanisms that Control Slip in Low-Viscosity Fluids ...	520
10.2.3	Experimental	522
10.2.4	Slip Can Be Modulated by Dissolved Gas	525
10.2.5	Slip Past Wetted Surfaces	527
10.2.6	The Purposeful Generation of Slip	527
10.2.7	Outlook	528
10.3	Hydrophobic Interaction and Water at a Hydrophobicity Interface	528
10.3.1	Experimental	529
10.3.2	Hydrophobic Interaction	530
10.3.3	Hydrophobicity at a Janus Interface	532
10.4	Ultrafast Spectroscopic Study of Confined Fluids: Combining Ultra-Fast Spectroscopy with Force Apparatus	537
10.4.1	Challenges	539
10.4.2	Principles of FCS Measurement	540
10.4.3	Experimental Set-up	541
10.5	Contrasting Friction with Diffusion in Molecularly Thin Films	543
10.6	Diffusion of Confined Molecules During Shear	548
10.7	Summary	550
	References	551

11 Friction and Wear on the Atomic Scale*Enrico Gnecco, Roland Bennewitz, Oliver Pfeiffer, Anisoara Socoliuc,*

<i>Ernst Meyer</i>	557
11.1 Friction Force Microscopy in Ultrahigh Vacuum	557
11.1.1 Friction Force Microscopy	558
11.1.2 Force Calibration	559
11.1.3 The Ultrahigh Vacuum Environment	562
11.1.4 A Typical Microscope Operated in UHV	563
11.2 The Tomlinson Model	565
11.2.1 One-Dimensional Tomlinson Model	565
11.2.2 Two-Dimensional Tomlinson Model	566
11.2.3 Friction Between Atomically Flat Surfaces	568
11.3 Friction Experiments on the Atomic Scale	569
11.3.1 Anisotropy of Friction	572
11.4 Thermal Effects on Atomic Friction	574
11.4.1 The Tomlinson Model at Finite Temperature	575
11.4.2 Velocity Dependence of Friction	579
11.4.3 Temperature Dependence of Friction	582
11.5 Geometry Effects in Nanocontacts	582
11.5.1 Continuum Mechanics of Single Asperities	583
11.5.2 Dependence of Friction on Load	584
11.5.3 Estimation of the Contact Area	584
11.6 Wear on the Atomic Scale	588
11.6.1 Abrasive Wear on the Atomic Scale	588
11.6.2 Contribution of Wear to Friction	590
11.7 Molecular Dynamics Simulations of Atomic Friction and Wear	591
11.7.1 Molecular Dynamics Simulations of Friction Processes	592
11.7.2 Molecular Dynamics Simulations of Abrasive Wear	594
11.8 Energy Dissipation in Noncontact Atomic Force Microscopy	595
11.9 Conclusion	600
References	600

12 Nanomechanical Properties of Solid Surfaces and Thin Films

<i>Adrian B. Mann</i>	607
12.1 Introduction	607
12.2 Instrumentation	608
12.2.1 AFM and Scanning Probe Microscopy	608
12.2.2 Nanoindentation	609
12.2.3 Adaptations of Nanoindentation	612
12.2.4 Complimentary Techniques	613
12.2.5 Bulge Tests	614
12.2.6 Acoustic Methods	614
12.2.7 Imaging Methods	617
12.3 Data Analysis	617
12.3.1 Elastic Contacts	618

12.3.2 Indentation of Ideal Plastic Materials	619
12.3.3 Adhesive Contacts	620
12.3.4 Indenter Geometry	621
12.3.5 Analyzing Load/Displacement Curves	622
12.3.6 Modifications to the Analysis	626
12.3.7 Alternative Methods of Analysis	629
12.3.8 Measuring Contact Stiffness	630
12.3.9 Measuring Viscoelasticity	631
12.4 Modes of Deformation	632
12.4.1 Defect Nucleation	632
12.4.2 Variations with Depth	634
12.4.3 Anisotropic Materials	635
12.4.4 Fracture and Delamination	635
12.4.5 Phase Transformations	637
12.5 Thin Films and Multilayers	639
12.5.1 Thin Films	640
12.5.2 Multilayers	644
12.6 Developing Areas	646
References	647
 13 Computer Simulations of Nanometer-Scale Indentation and Friction	
<i>Susan B. Sinnott, Seong-Jun Heo, Donald W. Brenner, Judith A. Harrison</i> ..	655
13.1 Introduction	655
13.2 Computational Details	657
13.2.1 Energies and Forces	657
13.2.2 Important Approximations	660
13.3 Indentation	664
13.3.1 Surfaces	665
13.3.2 Thin Films	680
13.4 Friction and Lubrication	688
13.4.1 Bare Surfaces	689
13.4.2 Decorated Surfaces	698
13.4.3 Thin Films	702
13.5 Conclusions	727
References	727
 14 Mechanical Properties of Nanostructures	
<i>Bharat Bhushan</i>	741
14.1 Introduction	742
14.2 Experimental Techniques for Measurement of Mechanical Properties of Nanostructures	744
14.2.1 Indentation and Scratch Tests Using Micro/Nanoindenters	744
14.2.2 Bending Tests of Nanostructures Using an AFM	745
14.2.3 Bending Tests of Micro/Nanostructures Using a Nanoindenter ..	751
14.3 Experimental Results and Discussion	752

14.3.1 Indentation and Scratch Tests of Various Ceramic and Metals Using a Micro/Nanoindenter	752
14.3.2 Bending Tests of Ceramic Nanobeams Using an AFM	758
14.3.3 Bending Tests of Metallic Microbeams Using a Nanoindenter	764
14.3.4 Indentation and Scratch Tests of Polymeric Microbeams Using a Nanoindenter	766
14.3.5 Bending Tests of Polymeric Microbeams Using a Nanoindenter	770
14.4 Finite Element Analysis of Nanostructures with Roughness and Scratches	773
14.4.1 Stress Distribution in a Smooth Nanobeam	776
14.4.2 Effect of Roughness in the Longitudinal Direction	776
14.4.3 Effect of Roughness in the Transverse Direction and Scratches	777
14.4.4 Effect on Stresses and Displacements for Materials Which are Elastic, Elastic-Plastic or Elastic-Perfectly Plastic	782
14.5 Closure	783
References	785
15 Scale Effect in Mechanical Properties and Tribology	
<i>Bharat Bhushan, Michael Nosonovsky</i>	791
15.1 Nomenclature	791
15.2 Introduction	793
15.3 Scale Effect in Mechanical Properties	796
15.3.1 Yield Strength and Hardness	797
15.3.2 Shear Strength at the Interface	800
15.4 Scale Effect in Surface Roughness and Contact Parameters	804
15.4.1 Scale Dependence of Roughness and Contact Parameters	804
15.4.2 Dependence of Contact Parameters on Load	807
15.5 Scale Effect in Friction	809
15.5.1 Adhesional Friction	810
15.5.2 Two-Body Deformation	813
15.5.3 Three-Body Deformation Friction	814
15.5.4 Ratchet Mechanism	817
15.5.5 Meniscus Analysis	819
15.5.6 Total Value of Coefficient of Friction and Transition from Elastic to Plastic Regime	820
15.5.7 Comparison with the Experimental Data	822
15.6 Scale Effect in Wear	828
15.7 Scale Effect in Interface Temperature	829
15.8 Closure	831
15.A Statistics of Particle Size Distribution	832
15.A.1 Statistical Models of Particle Size Distribution	832
15.A.2 Typical Particle Size Distribution Data	835
References	837

Part III Molecularly-Thick Films for Lubrication

16 Nanotribology of Ultrathin and Hard Amorphous Carbon Films

<i>Bharat Bhushan</i>	843
16.1 Introduction	843
16.2 Description of Common Deposition Techniques	848
16.2.1 Filtered Cathodic Arc Deposition	849
16.2.2 Ion Beam Deposition	851
16.2.3 Electron Cyclotron Resonance Chemical Vapor Deposition ...	852
16.2.4 Sputtering Deposition	853
16.2.5 Plasma-Enhanced Chemical Vapor Deposition	853
16.3 Chemical and Physical Coating Characterization	854
16.3.1 EELS and Raman Spectroscopy	855
16.3.2 Hydrogen Concentrations	859
16.3.3 Physical Properties	860
16.3.4 Summary	862
16.4 Micromechanical and Tribological Coating Characterization	862
16.4.1 Micromechanical Characterization	862
16.4.2 Microscratch and Microwear Studies	875
16.4.3 Macroscale Tribological Characterization	885
16.4.4 Coating Continuity Analysis	891
16.5 Closure	893
References	894

17 Self-Assembled Monolayers (SAMs) for Controlling Adhesion, Friction, and Wear

<i>Bharat Bhushan</i>	901
17.1 Introduction	901
17.2 A Brief Organic Chemistry Primer	906
17.2.1 Electronegativity/Polarity	906
17.2.2 Classification and Structures of Organic Compounds	908
17.2.3 Polar and Nonpolar Groups	913
17.3 Self-Assembled Monolayers: Substrates, Spacer Chains; and End Groups in the Molecular Chains	913
17.4 Tribological Properties of SAMs	918
17.4.1 Measurement Techniques	922
17.4.2 Hexadecane Thiol and Biphenyl Thiol SAMs on Au(111)	923
17.4.3 Alkylsilane and Perfluoroalkylsilane SAMs on Si(100) and Alkylphosphonate SAMS on Al	934
17.4.4 Degradation and Environmental Studies	947
17.5 Closure	951
References	953

18 Nanoscale Boundary Lubrication Studies

<i>Bharat Bhushan, Huiwen Liu</i>	959
18.1 Introduction	959
18.2 Lubricants Details	960
18.3 Nanodeformation, Molecular Conformation, and Lubricant Spreading	963
18.4 Boundary Lubrication Studies	966
18.4.1 Friction and Adhesion	967
18.4.2 Rest Time Effect	972
18.4.3 Velocity Effect	976
18.4.4 Relative Humidity and Temperature Effect	979
18.4.5 Tip Radius Effect	983
18.4.6 Wear Study	985
18.5 Closure	988
References	989

Part IV Biomimetics

19 Lotus Effect: Roughness-Induced Superhydrophobic Surfaces

<i>Bharat Bhushan, Michael Nosonovsky and Yong Chae Jung</i>	995
19.1 Introduction	995
19.2 Modeling of Contact Angle for a Liquid in Contact with a Rough Surface	1001
19.2.1 Contact Angle Definition	1001
19.2.2 Heterogeneous Interfaces and the Wenzel and Cassie–Baxter Equations	1002
19.2.3 Contact Angle Hysteresis	1010
19.2.4 The Cassie–Wenzel Wetting Regime Transition	1013
19.3 Lotus-Effect and Water-Repellent Surfaces in Nature	1017
19.3.1 Water-Repellent Plants	1017
19.3.2 Characterization of Hydrophobic and Hydrophilic Leaf Surfaces	1019
19.4 Wetting of Micro- and Nanopatterned Surfaces	1031
19.4.1 Experimental Techniques	1031
19.4.2 Micro- and Nanopatterned Polymers	1033
19.4.3 Micropatterned Si Surfaces	1037
19.4.4 Self-Cleaning	1056
19.5 Role of Hierarchical Roughness for Superhydrophobicity	1056
19.6 How to Make a Superhydrophobic Surface	1057
19.6.1 Roughening to Create One-level Structure	1058
19.6.2 Coating to Create One-level Hydrophobic Structures	1063
19.6.3 Methods to Create Two-Level (Hierarchical) Superhydrophobic Structures	1064
19.7 Closure	1065
References	1065

20 Gecko Feet: Natural Hairy Attachment Systems for Smart Adhesion – Mechanism, Modeling and Development of Bio-Inspired Materials

<i>Bharat Bhushan</i>	1073
20.1 Introduction	1073
20.2 Hairy Attachment Systems	1074
20.3 Tokay Gecko	1077
20.3.1 Construction of Tokay Gecko	1077
20.3.2 Adaptation to Rough Surfaces	1078
20.3.3 Peeling	1081
20.3.4 Self Cleaning	1083
20.4 Attachment Mechanisms	1084
20.4.1 Van der Waals Forces	1085
20.4.2 Capillary Forces	1086
20.5 Experimental Adhesion Test Techniques and Data	1087
20.5.1 Adhesion under Ambient Conditions	1088
20.5.2 Effects of Temperature	1090
20.5.3 Effects of Humidity	1090
20.5.4 Effects of Hydrophobicity	1092
20.6 Adhesion Modeling	1092
20.6.1 Spring Model	1092
20.6.2 Single Spring Contact Analysis	1094
20.6.3 The Multi-Level Hierarchical Spring Analysis	1095
20.6.4 Adhesion Results of the Multi-level Hierarchical Spring Model	1099
20.6.5 Capillary Effects	1103
20.6.6 Adhesion Results that Account for Capillary Effects	1104
20.7 Modeling of Biomimetic Fibrillar Structures	1106
20.7.1 Fiber Model	1107
20.7.2 Single Fiber Contact Analysis	1108
20.7.3 Constraints	1108
20.7.4 Numerical simulation	1112
20.7.5 Results and discussion	1114
20.8 Fabrication of Biomimetic Gecko Skin	1118
20.8.1 Single Level Hierarchical Structures	1119
20.8.2 Multi-Level Hierarchical Structures	1123
20.9 Closure	1125
20.A Typical Rough Surfaces	1127
References	1130

Part V Applications

**21 Micro/Nanotribology and Micro/Nanomechanics
of Magnetic Storage Devices**

<i>Bharat Bhushan</i>	1137
21.1 Introduction	1138
21.1.1 Magnetic Storage Devices	1138
21.1.2 Micro/Nanotribology and Micro/Nanomechanics and Their Applications	1142
21.2 Experimental	1142
21.2.1 Description of AFM/FFM	1142
21.2.2 Test Specimens	1146
21.3 Surface Roughness	1146
21.4 Friction and Adhesion	1151
21.4.1 Magnetic Head Materials	1151
21.4.2 Magnetic Media	1153
21.5 Scratching and Wear	1156
21.5.1 Nanoscale Wear	1156
21.5.2 Microscale Scratching	1157
21.5.3 Microscale Wear	1167
21.6 Indentation	1182
21.6.1 Picoscale Indentation	1182
21.6.2 Nanoscale Indentation	1183
21.6.3 Localized Surface Elasticity	1185
21.7 Lubrication	1187
21.7.1 Boundary Lubrication Studies	1189
21.8 Closure	1192
References	1194

**22 Nanotribology and Materials Characterization of MEMS/NEMS
and BioMEMS/BioNEMS Materials and Devices**

<i>Bharat Bhushan</i>	1199
22.1 Introduction	1199
22.1.1 Introduction to MEMS	1202
22.1.2 Introduction to NEMS	1204
22.1.3 BioMEMS/BioNEMS	1204
22.1.4 Tribological Issues in MEMS/NEMS and BioMEMS/BioNEMS	1205
22.2 Tribological Studies of Silicon and Related Materials	1224
22.2.1 Virgin and Treated/Coated Silicon Samples	1225
22.2.2 Tribological Properties of Polysilicon Films and SiC Film	1231
22.3 Lubrication Studies for MEMS/NEMS	1235
22.3.1 Perfluoropolyether Lubricants	1235
22.3.2 Self-Assembled Monolayers (SAMs)	1240

22.3.3	Hard Diamond-Like Carbon (DLC) Coatings	1245
22.4	Tribological Studies of Biological Molecules on Silicon-Based Surfaces and of Coated Polymer Surfaces	1245
22.4.1	Adhesion, Friction, and Wear of Biomolecules on Si-Based Surfaces	1245
22.4.2	Adhesion of Coated Polymer Surfaces	1251
22.5	Nanopatterned Surfaces	1253
22.5.1	Analytical Model and Roughness Optimization	1253
22.5.2	Experimental Validation	1256
22.6	Component-Level Studies	1261
22.6.1	Surface Roughness Studies of Micromotor Components	1261
22.6.2	Adhesion Measurements of Microstructures	1263
22.6.3	Microtriboapparatus for Adhesion, Friction, and Wear of Microcomponents	1264
22.6.4	Static Friction Force (Stiction) Measurements in MEMS	1269
22.6.5	Mechanisms Associated with Observed Stiction Phenomena in Digital Micromirror Devices (DMD) and Nanomechanical Characterization	1273
22.7	Conclusion	1278
22.A	Appendix Micro/Nanofabrication Methods	1279
22.A.1	Top-Down Methods	1279
22.A.2	Bottom-Up Fabrication (Nanochemistry)	1284
	References	1285
23	Mechanical Properties of Micromachined Structures	
	<i>Harold Kahn</i>	1297
23.1	Measuring Mechanical Properties of Films on Substrates	1297
23.1.1	Residual Stress Measurements	1298
23.1.2	Mechanical Measurements Using Nanoindentation	1299
23.2	Micromachined Structures for Measuring Mechanical Properties	1299
23.2.1	Passive Structures	1299
23.2.2	Active Structures	1304
23.3	Measurements of Mechanical Properties	1314
23.3.1	Mechanical Properties of Polysilicon	1314
23.3.2	Mechanical Properties of Other Materials	1318
	References	1320
24	Structural, Nanomechanical, and Nanotribological Characterization of Human Hair Using Atomic Force Microscopy and Nanoindentation	
	<i>Bharat Bhushan, Carmen LaTorre</i>	1325
24.1	Introduction	1325
24.2	Human Hair, Skin, and Hair Care Products	1330
24.2.1	Human Hair and Skin	1330
24.2.2	Hair Care: Cleaning and Conditioning Treatments, and Damaging Processes	1339

24.3	Experimental	1345
24.3.1	Experimental Procedure	1348
24.3.2	Hair and Skin Samples	1361
24.4	Structural Characterization Using an AFM	1363
24.4.1	Structure of Hair Cross-section & Longitudinal Section	1363
24.4.2	Structure of Various Cuticle Layers	1367
24.4.3	Summary	1373
24.5	Nanomechanical Characterization Using Nanoindentation, Nanoscratch, and AFM	1375
24.5.1	Hardness, Young's Modulus, and Creep	1375
24.5.2	Scratch Resistance	1387
24.5.3	In-Situ Tensile Deformation Studies on Human Hair Using AFM	1395
24.5.4	Summary	1401
24.6	Multi-Scale Tribological Characterization	1402
24.6.1	Macroscale Tribological Characterization	1402
24.6.2	Nanotribological Characterization Using an AFM	1410
24.6.3	Scale Effects	1439
24.6.4	Summary	1449
24.7	Conditioner Thickness Distribution and Binding Interactions on Hair Surface	1451
24.7.1	Conditioner Thickness and Adhesive Force Mapping	1452
24.7.2	Effective Young's Modulus Mapping	1459
24.7.3	Binding Interactions Between Conditioner and Hair Surface ..	1462
24.7.4	Summary	1464
24.8	Surface Potential Studies of Human Hair Using Kelvin Probe Microscopy	1465
24.8.1	Effect of Physical Wear and Rubbing with Latex on Surface Potential	1465
24.8.2	Effect of External Voltage and Humidity on Surface Potential .	1471
24.8.3	Summary	1476
24.9	Closure	1476
24.A	Shampoo and Conditioner Treatment Procedure	1479
24.A.1	Shampoo Treatments	1479
24.A.2	Conditioner Treatments	1479
24.B	Conditioner Thickness Approximation	1480
	References	1481
	The Editor	1487
	Index	1489