

Contents

Preface — VII

List of Figures — IX

Introduction to Volume 1 — XI

1 Dynamical systems — 1

- 1.1 Basic definitions — 1
- 1.2 Topological conjugacy and structural stability — 4
- 1.3 Factors — 9
- 1.4 Subsystems — 10
- 1.5 Mixing and irreducibility — 13
 - 1.5.1 Minimality — 14
 - 1.5.2 Transitivity and topological mixing — 15
 - 1.5.3 Topological exactness — 21
- 1.6 Examples — 21
 - 1.6.1 Rotations of compact topological groups — 21
 - 1.6.2 Maps of the interval — 27
- 1.7 Exercises — 31

2 Homeomorphisms of the circle — 37

- 2.1 Lifts of circle maps — 37
- 2.2 Orientation-preserving homeomorphisms of the circle — 44
 - 2.2.1 Rotation numbers — 48
- 2.3 Minimality for homeomorphisms and diffeomorphisms of the circle — 53
 - 2.3.1 Denjoy's theorem — 55
 - 2.3.2 Denjoy's counterexample — 64
- 2.4 Exercises — 65

3 Symbolic dynamics — 67

- 3.1 Full shifts — 67
- 3.2 Subshifts of finite type — 73
 - 3.2.1 Topological transitivity — 79
 - 3.2.2 Topological exactness — 80
 - 3.2.3 Asymptotic behavior of periodic points — 81
- 3.3 General subshifts of finite type — 91
- 3.4 Exercises — 93

4	Distance expanding maps — 97
4.1	Definition and examples — 97
4.1.1	Expanding repellers — 98
4.1.2	Hyperbolic Cantor sets — 101
4.2	Inverse branches — 108
4.3	Shadowing — 115
4.4	Markov partitions — 121
4.5	Symbolic representation generated by a Markov partition — 130
4.6	Exercises — 136
5	(Positively) expansive maps — 139
5.1	Expansiveness — 139
5.2	Uniform expansiveness — 141
5.3	Expansive maps are expanding with respect to an equivalent metric — 143
5.4	Parabolic Cantor sets — 146
5.5	Exercises — 148
6	Shub expanding endomorphisms — 151
6.1	Shub expanding endomorphisms of the circle — 151
6.2	Definition, characterization, and properties of general Shub expanding endomorphisms — 156
6.3	A digression into algebraic topology — 161
6.3.1	Deck transformations — 161
6.3.2	Lifts — 164
6.4	Dynamical properties — 168
6.4.1	Expanding property — 168
6.4.2	Topological exactness and density of periodic points — 169
6.4.3	Topological conjugacy and structural stability — 172
6.5	Exercises — 176
7	Topological entropy — 177
7.1	Covers of a set — 177
7.1.1	Dynamical covers — 179
7.2	Definition of topological entropy via open covers — 181
7.2.1	First stage: entropy of an open cover — 181
7.2.2	Second stage: entropy of a system relative to an open cover — 183
7.2.3	Third and final stage: entropy of a system — 186
7.3	Bowen's definition of topological entropy — 194
7.4	Topological degree — 200
7.5	Misiurewicz–Przytycki theorem — 201
7.6	Exercises — 203

8 Ergodic theory — 205

- 8.1 Measure-preserving transformations — 205
 - 8.1.1 Examples of invariant measures — 207
 - 8.1.2 Poincaré's recurrence theorem — 211
 - 8.1.3 Existence of invariant measures — 212
- 8.2 Ergodic transformations — 216
 - 8.2.1 Birkhoff's ergodic theorem — 222
 - 8.2.2 Existence of ergodic measures — 231
 - 8.2.3 Examples of ergodic measures — 234
 - 8.2.4 Uniquely ergodic transformations — 241
- 8.3 Mixing transformations — 248
 - 8.3.1 Weak mixing — 248
 - 8.3.2 Mixing — 253
 - 8.3.3 K-mixing — 255
- 8.4 Rokhlin's natural extension — 258
- 8.5 Exercises — 264

9 Measure-theoretic entropy — 275

- 9.1 An excursion into the origins of entropy — 275
- 9.2 Partitions of a measurable space — 277
- 9.3 Information and conditional information functions — 278
- 9.4 Definition of measure-theoretic entropy — 283
 - 9.4.1 First stage: entropy and conditional entropy for partitions — 283
 - 9.4.2 Second stage: entropy of a system relative to a partition — 285
 - 9.4.3 Third and final stage: entropy of a system — 292
- 9.5 Shannon–McMillan–Breiman theorem — 300
- 9.6 Brin–Katok local entropy formula — 305
- 9.7 Exercises — 314

10 Infinite invariant measures — 317

- 10.1 Quasi-invariant measures, ergodicity and conservativity — 317
- 10.2 Invariant measures and inducing — 321
- 10.3 Ergodic theorems — 330
- 10.4 Absolutely continuous σ -finite invariant measures — 338
- 10.5 Exercises — 345

11 Topological pressure — 349

- 11.1 Definition of topological pressure via open covers — 350
 - 11.1.1 First stage: pressure of a potential relative to an open cover — 350
 - 11.1.2 Second stage: the pressure of a potential — 357
- 11.2 Bowen's definition of topological pressure — 362
- 11.3 Basic properties of topological pressure — 367

11.4 Examples — 368

11.5 Exercises — 370

12 The variational principle and equilibrium states — 371

12.1 The variational principle — 371

12.1.1 Consequences of the variational principle — 379

12.2 Equilibrium states — 381

12.3 Examples of equilibrium states — 384

12.4 Exercises — 385

A A selection of classical results — 387

A.1 Measure theory — 387

A.1.1 Collections of sets and measurable spaces — 387

A.1.2 Measurable transformations — 391

A.1.3 Measure spaces — 393

A.1.4 Extension of set functions to measures — 395

A.1.5 Integration — 398

A.1.6 Convergence theorems — 400

A.1.7 Mutual singularity, absolute continuity and equivalence of measures — 406

A.1.8 The space $C(X)$, its dual $C(X)^*$ and the subspace $M(X)$ — 407

A.1.9 Expected values and conditional expectation functions — 410

A.2 Analysis — 418

Bibliography — 419

Index — 423

Volume 2

13 Gibbs states and transfer operators for open, distance expanding systems

13.1 Hölder continuous potentials

13.2 Gibbs measures

13.2.1 Definition and properties

13.2.2 Invariant Gibbs states are equilibrium states

13.3 Jacobians and changes of variables

13.4 Construction of invariant measures from quasi-invariant ones

13.5 Transfer operators

13.6 Non-necessarily-invariant Gibbs states

13.6.1 Existence of eigenmeasures for the dual of the transfer operator

13.6.2 Eigenmeasures are conformal measures

- 13.6.3 Eigenmeasures are Gibbs states for transitive systems
- 13.6.4 Ergodicity of the eigenmeasures for transitive systems
- 13.6.5 Metric exactness of the eigenmeasures for topologically exact systems
- 13.7 Invariant Gibbs states
- 13.7.1 Almost periodicity of normalized transfer operators
- 13.7.2 Existence, uniqueness and ergodicity of invariant Gibbs states for transitive systems
- 13.7.3 Invariant Gibbs states and equilibrium states coincide and are unique
- 13.7.4 Hölder continuous potentials with the same Gibbs states
- 13.7.5 Invariant Gibbs states have positive entropy; pressure gap
- 13.7.6 Absolutely continuous invariant measures for Shub expanding maps
- 13.8 Finer properties of transfer operators and Gibbs states
- 13.8.1 Iterates of transfer operators
- 13.8.2 Ionescu-Tulcea and Marinescu inequality and spectral gap
- 13.8.3 Continuity of Gibbs states
- 13.9 Stochastic laws
- 13.9.1 Exponential decay of correlations
- 13.9.2 Asymptotic variance
- 13.9.3 Central limit theorem
- 13.9.4 Law of the iterated logarithm
- 13.9.5 Metric exactness, K -mixing, and weak Bernoulli
- 13.10 Real analyticity of topological pressure
- 13.11 Exercises

14 Lasota–Yorke maps

- 14.1 Definition
- 14.2 Transfer operator
- 14.3 Existence of absolutely continuous invariant probability measures
- 14.4 Exponential decay of correlations
- 14.5 Exercises

15 Fractal measures and dimensions

- 15.1 Outer measures
- 15.2 Geometric (Hausdorff and packing) outer measures and dimensions
- 15.2.1 Gauge functions
- 15.2.2 Hausdorff measures
- 15.2.3 Packing measures
- 15.2.4 Packing vs. Hausdorff measures
- 15.3 Dimensions of sets
- 15.3.1 Hausdorff dimension
- 15.3.2 Packing dimensions
- 15.3.3 Packing vs. Hausdorff dimensions

- 15.3.4 Box-counting dimensions
- 15.3.5 Alternate definitions of box dimensions
- 15.3.6 Hausdorff vs. packing vs. box dimensions
- 15.3.7 Hausdorff, packing and box dimensions under (bi)–Lipschitz mappings
- 15.4 A digression into geometric measure theory
- 15.5 Volume lemmas; Frostman converse theorems
- 15.6 Dimensions of measures
- 15.7 Exercises

- 16 Conformal expanding repellers**
 - 16.1 Conformal maps
 - 16.2 Conformal expanding repellers
 - 16.3 Bowen’s formula
 - 16.3.1 Special case of Hutchinson’s formula
 - 16.4 Real-analytic dependence of Hausdorff dimension of repellers in \mathbb{C}
 - 16.5 Dimensions of measures, Lyapunov exponents and measure-theoretic entropy
 - 16.6 Multifractal analysis of Gibbs states
 - 16.7 Exercises

- 17 Countable-state thermodynamic formalism**
 - 17.1 Finitely irreducible subshifts
 - 17.1.1 Finitely primitive subshifts
 - 17.2 Topological pressure
 - 17.2.1 Potentials: acceptability and Hölder continuity on cylinders
 - 17.2.2 Partition functions
 - 17.2.3 The pressure function
 - 17.3 Variational principles and equilibrium states
 - 17.4 Gibbs states
 - 17.5 Gibbs states vs. equilibrium states
 - 17.6 Transfer operator
 - 17.7 Existence and uniqueness of eigenmeasures of the dual transfer operator, of Gibbs states and of equilibrium states
 - 17.8 The invariant Gibbs state has positive entropy; pressure gap
 - 17.9 Exercises

- 18 Countable-state thermodynamic formalism: finer properties**
 - 18.1 Ionescu-Tulcea and Marinescu inequality
 - 18.2 Continuity of Gibbs states
 - 18.3 Stochastic laws
 - 18.3.1 Exponential decay of correlations
 - 18.3.2 Asymptotic variance

18.3.3	Central limit theorem
18.3.4	Law of the iterated logarithm
18.4	Potentials with the same Gibbs states
18.5	Exercises
19	Conformal graph directed Markov systems
19.1	Graph directed Markov systems
19.1.1	The underlying multigraph \mathcal{G}
19.1.2	The underlying matrix A
19.1.3	The system itself
19.2	Properties of conformal maps in \mathbb{R}^d , $d \geq 2$
19.3	Conformal graph directed Markov systems
19.4	Topological pressure, finiteness parameter, and Bowen parameter for CGDMSs
19.5	Classification of CGDMSs
19.6	Bowen's formula for CGDMSs
19.6.1	The finite case
19.6.2	The general case
19.6.3	Hutchinson's formula
19.7	Other separation conditions and cone condition
19.7.1	The strong open set condition
19.7.2	The boundary separation condition
19.7.3	The strong separation condition
19.7.4	The cone condition
19.7.5	Conformal-likeness
19.8	Hölder families of functions and conformal measures
19.8.1	Basic definitions and properties
19.8.2	Conformal measures for summable Hölder families of functions
19.8.3	Conformal measures for CGDMSs
19.8.4	Dimensions of measures for CGDMSs
19.9	Examples
19.10	Exercises
20	Real analyticity of topological pressure and Hausdorff dimension
20.1	Real analyticity of pressure; part I
20.2	Real analyticity of pressure; part II
20.3	Real analyticity of pressure; part III
20.4	Real analyticity of Hausdorff dimension for CGDMSs in \mathbb{C}
20.5	Exercises
21	Multifractal analysis for conformal graph directed Markov systems
21.1	Pressure and temperature

- 21.2 Multifractal analysis of the conformal measure m_F over a subset of J
- 21.3 Multifractal analysis over J
- 21.3.1 Under the boundary separation condition
- 21.3.2 Under other conditions
- 21.4 Multifractal analysis over another subset of J
- 21.5 Exercises

Volume 3

22 The Riemann–Hurwitz formula

- 22.1 Proper analytic maps and their degree
- 22.2 The Euler characteristic of plane bordered surfaces
- 22.3 The Riemann–Hurwitz formula for bordered surfaces in $\widehat{\mathbb{C}}$
- 22.4 Euler characteristic: the general $\widehat{\mathbb{C}}$ case
- 22.5 Riemann–Hurwitz formula: the general $\widehat{\mathbb{C}}$ case
- 22.6 Exercises

23 Selected tools from complex analysis

- 23.1 Koebe’s distortion theorems
- 23.2 Normal families and Montel’s theorem

24 Dynamics and topology of rational functions: their Fatou and Julia sets

- 24.1 Fatou set, Julia set and periodic points
- 24.1.1 Attracting periodic points
- 24.1.2 Non-attracting periodic points
- 24.2 Rationally indifferent periodic points
- 24.2.1 Local and asymptotic behavior of holomorphic functions around rationally indifferent periodic points; part I
- 24.2.2 Leau–Fatou flower petals
- 24.2.3 Local and asymptotic behavior of rational functions around rationally indifferent periodic points; part II: Fatou’s flower theorem and fundamental domains
- 24.3 Non-attracting periodic points revisited: total number and denseness of repelling periodic points
- 24.4 The structure of the Fatou set
- 24.4.1 Forward invariant components of the Fatou set
- 24.4.2 Periodic components of the Fatou set
- 24.5 Cremer points, boundary of Siegel disks and Herman rings
- 24.6 Continuity of Julia sets
- 24.7 Polynomials
- 24.8 Exercises

- 25 Selected technical properties of rational functions**
 - 25.1 Passing near critical points; results and applications
 - 25.2 Two rules for critical points
 - 25.3 Expanding subsets of Julia sets
 - 25.4 Lyubich's geometric lemma
 - 25.5 Two auxiliary partitions
 - 25.5.1 Boundary partition
 - 25.5.2 Exponentially large partition
 - 25.5.3 Mañé's partition
 - 25.6 Miscellaneous facts
 - 25.6.1 Miscellaneous general facts
 - 25.6.2 Miscellaneous facts about rational functions
 - 25.7 Exercises
- 26 Expanding (or hyperbolic), subexpanding, and parabolic rational functions: topological outlook**
 - 26.1 Expanding rational functions
 - 26.2 Expansive and parabolic rational functions
 - 26.3 Subexpanding rational functions
 - 26.4 Exercises
- 27 Equilibrium states for rational functions and Hölder continuous potentials with pressure gap**
 - 27.1 Bad and good inverse branches
 - 27.2 The transfer operator $\mathcal{L}_\varphi : C(\mathcal{J}(T)) \rightarrow C(\mathcal{J}(T))$; its lower and upper bounds
 - 27.2.1 First lower bounds for \mathcal{L}_φ
 - 27.2.2 Auxiliary operators L_β
 - 27.2.3 Final bounds
 - 27.3 Equicontinuity of iterates of \mathcal{L}_φ ; "Gibbs" states m_φ and μ_φ
 - 27.4 Spectral properties of the transfer operator $\widehat{\mathcal{L}}_\varphi$ and their dynamical consequences
 - 27.5 Equilibrium states for $\varphi : \mathcal{J}(T) \rightarrow \mathbb{R}$
 - 27.5.1 The "Gibbs" state μ_φ is an equilibrium state for $\varphi : \mathcal{J}(T) \rightarrow \mathbb{R}$
 - 27.6 Continuous dependence on φ of the "Gibbs" states m_φ , μ_φ and the density ρ_φ
 - 27.7 Differentiability of topological pressure
 - 27.8 Uniqueness of equilibrium states for Hölder continuous potentials
 - 27.9 Assorted remarks
 - 27.10 Exercises

28 Invariant measures: fractal and dynamical properties

- 28.1 Lyapunov exponents are non-negative
- 28.2 Ruelle's inequality
- 28.3 Pesin's theory in a conformal setting
- 28.4 Volume lemmas, Hausdorff and packing dimensions of invariant measures
- 28.5 $HD(\mathcal{J}(T)) > 0$ and radial Julia sets $\mathcal{J}_r(T)$, $\mathcal{J}_{re}(T)$, $\mathcal{J}_{ure}(T)$
- 28.6 Conformal Katok's theory of expanding sets and topological pressure
- 28.6.1 Pressure-like definition of the functional $h_\mu + \int \varphi d\mu$
- 28.6.2 Conformal Katok's theory
- 28.7 Exercises

29 Sullivan's conformal measures for rational functions

- 29.1 General concept of conformal measures
- 29.1.1 Motivation for and definition of general conformal measures
- 29.1.2 Selected properties of general conformal measures
- 29.1.3 The limit construction and PS limit measures
- 29.1.4 Conformality properties of PS limit measures
- 29.2 Sullivan's conformal measures
- 29.3 Pesin's formula
- 29.4 Exercises

30 Conformal measures, invariant measures, and fractal geometry of expanding rational functions

- 30.1 Fundamental fractal geometry
- 30.2 Geometric rigidity
- 30.3 Exercises

31 Conformal measures, invariant measures, and fractal geometry of parabolic rational functions

- 31.1 General conformal measures for expansive topological dynamical systems
- 31.2 Geometric topological pressure and generalized geometric conformal measures for parabolic rational functions
- 31.3 Sullivan's conformal measures for parabolic rational functions
- 31.3.1 Technical preparations
- 31.3.2 The atomless h_T -conformal measure m_T : existence, uniqueness, ergodicity and conservativity
- 31.3.3 The complete structure of Sullivan's conformal measures for parabolic rational functions: the atomless measure m_T and purely atomic measures; Bowen's formula

- 31.4 Invariant measures equivalent to m_T : existence, uniqueness, ergodicity and the finite-infinite dichotomy
- 31.5 Hausdorff and packing measures
- 31.6 Exercises

- 32 Conformal measures, invariant measures, and fractal geometry of subexpanding rational functions**
 - 32.1 Sullivan's conformal measures for subexpanding rational functions
 - 32.1.1 Technical preparations
 - 32.1.2 The atomless h_T -conformal measure m_T : existence, uniqueness, ergodicity and conservativity
 - 32.1.3 The complete structure of Sullivan's conformal measures for subexpanding rational functions: the atomless measure m_T and purely atomic measures; Bowen's formula
 - 32.2 Invariant probability measure equivalent to m_T : existence, uniqueness, and ergodicity
 - 32.3 Hausdorff and packing measures
 - 32.4 Exercises