

Contents

Prologue x

Naomi J. Halas and Peter Nordlander

Introduction xiii

Pedro H.C. Camargo and Emiliano Cortés

1 Theory of Plasmonic Excitations 1

Lucas V. Besteiro, Xiang-Tian Kong, Zhiming M. Wang and Alexander O. Govorov

1.1 Introduction 1

1.2 Dynamics of Plasmon Excitation and Decay 5

1.2.1 Collective Charge Dynamics 5

1.2.2 Confined Systems 8

1.2.3 Plasmonic Decay Channels 9

1.3 Hot Electrons: Energy Distribution and Mechanisms of Generation 11

1.4 Charge Transfer Mechanisms Associated with Plasmons 15

1.4.1 Indirect Hot Carrier Injection 16

1.4.2 Direct HE Injection 19

1.5 Plasmonic Near-Field Enhancement 19

1.6 Plasmonic Scattering 22

1.7 Photoheating 24

1.8 Example Applications 27

1.9 Outlook 30

Acknowledgements 30

References 30

2 Characterization and Properties of Plasmonic-Catalytic Nanostructures from the Atomic Scale to the Reactor Scale 37

Briley B. Bourgeois, Dayne F. Swearer and Jennifer A. Dionne

2.1 Overview 37

2.2 Ensemble Studies and Mechanistic Mysteries 39

2.2.1 Monitoring an Ensemble Reaction 40

2.2.2 Ensemble Experiments 42

2.2.3 Room for Growth in Ensemble Characterization Procedures 45

2.3 Single/Subparticle Measurements – Toward Uncovering Mechanisms 47

2.3.1 Diffraction-Limited Optical Characterization Techniques 48

2.3.2 Dark-Field Spectroscopy/Microscopy 50

2.3.3	Super-Resolution Microscopy – Beating the Diffraction Limit	52
2.3.4	Electron Microscopy	54
2.3.5	A Note on Computational Tools	56
2.4	Ultrafast Spectroscopy and Emerging Techniques – A Promising Future	58
2.4.1	Ultrafast Spectroscopy and Surface-Enhanced Raman Scattering	58
2.4.2	Tip-Enhanced Raman Spectroscopy	60
2.4.3	X-Ray and Ultrafast Electron Microscopy	62
2.5	Outlook	63
	Acknowledgments	64
	References	64
3	Synthesis of Plasmonic Nanoparticles for Photo- and Electrocatalysis	71
	<i>Wei Xie, Kaifu Zhang, Roland Grzeschik and Sebastian Schlücker</i>	
3.1	Introduction	71
3.2	Monometallic Plasmonic Nanoparticles	72
3.2.1	Au Nanoparticles	72
3.2.1.1	Au Quasispheres	73
3.2.1.2	Au Nanorods	74
3.2.1.3	Au Nanocubes	74
3.2.1.4	Au Nanotriangles	75
3.2.1.5	Au Nanostars	77
3.2.2	Ag Nanoparticles	78
3.2.2.1	Ag Quasispheres	78
3.2.2.2	Ag Nanowires and Nanorods	79
3.2.2.3	Ag Nanocubes	80
3.2.2.4	Ag Nanoplates with Long Narrow Gaps	81
3.2.3	Cu Nanoparticles	82
3.2.3.1	Cu Quasispheres	82
3.2.3.2	Cu Nanorods	83
3.2.3.3	Cu Nanocubes	83
3.2.4	Al Nanoparticles	83
3.2.4.1	Al Nanosheets	83
3.2.4.2	Al Nanocrystals	83
3.2.4.3	Al Nanorods	84
3.3	From Monometallic NP Films to Composite NP Architectures	85
3.3.1	Nanoparticle Monolayers	86
3.3.2	Superstructures	87
3.3.3	Other Structures	89
3.4	SERS Studies of Photo- and Electrocatalysis	92
3.4.1	Photocatalysis	92
3.4.1.1	Oxidation of Aniline	92
3.4.1.2	Reduction of Nitroarenes	93
3.4.1.3	Dehalogenation	94
3.4.2	Electrocatalysis	96
3.4.2.1	Hydrogen Evolution Reaction	96
3.4.2.2	Oxygen Evolution Reaction	96
3.4.2.3	Oxygen Reduction Reaction	97
3.4.2.4	Electrocatalytic CO ₂ Reduction	99
	References	101

4	Plasmonic Catalysis Toward Hydrogenation Reactions	109
	<i>Gareth D. Price, Alexandra Gellé and Audrey Moores</i>	
4.1	Introduction	109
4.2	Hydrogenation of Alkenes and Alkynes	110
4.3	Hydrogenation of Aldehydes and Ketones	115
4.4	Reduction of Nitro Compounds	120
4.4.1	Hydrogenation of Nitro Groups	120
4.4.2	Reductive Coupling of Nitroaromatics Compounds	126
4.5	Outlook	129
	References	130
5	Plasmonic Catalysis, Photoredox Chemistry, and Photosynthesis	137
	<i>Sungju Yu and Prashant K. Jain</i>	
5.1	Introduction	137
5.2	Energy Conversion Following Plasmonic Excitation	138
5.2.1	Plasmon-Induced Generation of Charge Carriers	138
5.2.2	Extraction of Charge Carriers Generated by Plasmonic Excitation	139
5.2.3	Mechanisms of Charge Transfer	139
5.2.4	Energetics and Kinetics of Carrier Harvesting	141
5.2.5	Chemical Potential of Plasmonic Excitations	144
5.3	Plasmon-Excitation-Assisted Charge Transfer Reactions	146
5.3.1	Photo-Driven Growth of Ag and Au NPs	146
5.3.2	Switching of Redox States	146
5.4	Plasmon-Excitation-Driven Processes Relevant for Fuel Generation	148
5.4.1	H ₂ O Splitting	148
5.4.2	CO ₂ Reduction	149
5.4.3	CO ₂ Reduction with a Reaction Promoter	153
5.4.4	Thermodynamic Insights into Plasmon-Excitation-Driven CO ₂ Reduction	157
5.5	Outlook	159
	Acknowledgments	162
	References	162
6	Plasmonic Catalysis for N₂ Fixation	165
	<i>Tomoya Oshikiri and Hiroaki Misawa</i>	
6.1	Introduction	165
6.2	Reaction Mechanism and Evaluation of N ₂ Fixation	166
6.2.1	Principles of Plasmon-Enhanced NH ₃ Photosynthesis	166
6.2.2	Associative and Dissociative Pathways of N ₂ Fixation	168
6.2.3	Analysis and Quantification of Plasmon-Induced NH ₃ Evolution	168
6.3	N ₂ Fixation Through NFE	170
6.4	N ₂ Fixation Through DHEI into N ₂ Molecules	170
6.5	HET from a Plasmonic Metal to a Semiconductor	174
6.5.1	N ₂ Fixation Through HET with Sacrificial Electron Donors	174
6.5.2	N ₂ Fixation Through HET Using Water as an Electron Donor	180
6.6	Outlook	186
	References	187

7	Untangling Thermal and Nonthermal Effects in Plasmonic Photocatalysis	191
	<i>Xueqian Li, Jie Liu and Henry O. Everitt</i>	
7.1	Introduction	191
7.2	Tools and Techniques for Product Analysis and Temperature Measurement	193
7.2.1	Gas Phase Reaction Chamber	194
7.2.2	Temperature Measurement	195
7.2.3	Thermal Gradients	197
7.2.4	Thermocouple Diameter	198
7.2.5	Additional Thermometry Methods in Plasmonic Photocatalysis	199
7.3	Photothermal Catalysis	200
7.3.1	Ru-based Catalysts for NH_3 Synthesis	200
7.3.2	Thermal Gradients in Ru Catalysts	201
7.3.3	Intensity- and Wavelength-Dependent Behavior	204
7.3.4	Direct and Indirect Illumination	204
7.4	Discriminating Thermal and Nonthermal Effects	207
7.4.1	Rhodium Catalysts for CO_2 Hydrogenation	209
7.4.2	Plasmonic Photocatalytic Reduction of CO_2	211
7.4.3	Unheated, Light-Only Photocatalysis	214
7.4.4	Light Intensity Dependence of Heated Photocatalysts	216
7.4.5	Nonthermal Photocatalytic Behaviors	217
7.5	Outlook	220
	References	222
8	Earth-Abundant Plasmonic Catalysts	231
	<i>Hefeng Cheng, Yasutaka Kuwahara and Hiromi Yamashita</i>	
8.1	Introduction	231
8.2	MoO_{3-x} - and WO_{3-x} -Based Plasmonic Catalysts	236
8.3	Molybdenum and Tungsten Bronzes-Based Plasmonic Catalysts	241
8.4	Cu_{2-x}E ($\text{E} = \text{S}, \text{Se}, \text{Te}$)-Based Plasmonic Catalysts	249
8.5	Outlook	251
	References	254
9	Plasmon-Enhanced Electrocatalysis	261
	<i>Subin Yu, Nur Aqlili Riana Che Mohamad, Minju Kim, Yoonseo Nah, Filipe Marques Mota and Dong Ha Kim</i>	
9.1	Introduction	261
9.2	Principles and Mechanism	262
9.2.1	Introducing Plasmonic Nanostructures in Electrocatalytic Systems	262
9.2.2	Disentangling Mechanism Pathways	263
9.2.3	Defining Approaches	267
9.3	Plasmon-Enhanced Electrocatalytic Systems	268
9.3.1	Water Splitting: Hydrogen and Oxygen Evolution	269
9.3.2	Fuel Cells: Oxygen Reduction and Alcohols Electro-oxidation	274
9.3.3	The Multielectron CO_2 Reduction to Valuable Products	283
9.4	Outlook	287
	Acknowledgements	288
	References	288

10	Plasmonic Metal/Semiconductor Heterostructures	295
	<i>Wenxiao Guo, Jiawei Huang and Wei David Wei</i>	
10.1	Introduction	295
10.2	Working Principles	295
10.2.1	Formation of the Schottky Barrier at the Metal/Semiconductor Interface	296
10.2.2	Electron Transfer Across the Schottky Barrier	297
10.3	Fabrication of Metal/Semiconductor Heterostructures	299
10.3.1	Colloidal Deposition Method	299
10.3.2	Deposition-Precipitation Method	300
10.3.3	Photodeposition Method	301
10.4	Design of Metal/Semiconductor Heterostructures	302
10.4.1	Design of Semiconductor Materials	302
10.4.1.1	Optimization of the Schottky Barrier Height	302
10.4.1.2	Optimization of Charge Transport in Semiconductors	303
10.4.1.3	Catalytic Activity of Semiconductors	304
10.4.2	Design of Metal Nanoparticles	305
10.4.2.1	Morphology of Metal Nanoparticles	305
10.4.2.2	Materials of Metal Nanoparticles	305
10.4.3	Design of Metal/Semiconductor Interfaces	306
10.4.3.1	Optimization of the Interfacial Electron Transfer	307
10.4.3.2	Optimization of Interfacial Active Sites	308
10.5	Photocatalytic Reactions Mediated by Plasmonic Heterostructures	308
10.5.1	Water Splitting	308
10.5.2	Organic Transformation	311
10.5.3	Other Reactions	312
10.6	Outlook	312
	Acknowledgments	313
	References	313
	Epilogue	323
	<i>Suljo Linic</i>	
	Index	327