Contents

Preface *xi*

1 **Kinetic and Thermodynamic Considerations for Photocatalyst Design** *1*
Frank E. Osterloh
1.1 Introduction *1*
1.2 Mechanistic Aspects of Photochemical Reaction Systems *2*
1.3 Common Parameters of Photochemical Reaction Systems *10*
1.4 Differences Between Photocatalytic and Photosynthetic Reaction Systems *13*
1.5 Conclusion *17*
Acknowledgment *18*
References *18*

2 **Design of Reliable Studies on Photocatalysis: Logic, Concepts, and Methods** *29*
Bunsho Ohtani
2.1 Photocatalysis *29*
2.2 Reliability in Scientific Studies *30*
2.2.1 Reliability in Science *30*
2.2.2 Truth in Science: Unambiguousness Text *30*
2.2.3 Logic in Scientific Studies *30*
2.2.4 Examples of Propositions *31*
2.2.5 Counter (Contrary) Evidence: Killer Card *32*
2.2.6 Reliability in Scientific Studies *34*
2.3 Methods in Photocatalysis Studies *34*
2.3.1 Bandgap Determination by Tauc Plots *34*
2.3.2 Action Spectrum Analysis *36*
2.3.3 Light Intensity-Dependent Analysis *39*
2.3.4 Photocatalytic Activity Evaluation *41*

2.3.5 Correlation Between Photocatalytic Activity and Physical/Structural Properties *44*
2.4 Design of Reliable Studies on Photocatalysis *46*
References *46*

3 In Situ Spectroscopy for Mechanistic Studies in Semiconductor Photocatalysis *51*
Jan P. Hofmann
3.1 Introduction *51*
3.2 Challenges in In Situ and *Operando* Characterization in Photocatalysis *52*
3.3 Overview of Methods and Examples from the Literature *54*
3.3.1 (Transient) UV/Vis/NIR Electronic Spectroscopies *57*
3.3.2 Vibrational Spectroscopies *59*
3.3.2.1 Infrared Spectroscopy *59*
3.3.2.2 Raman Spectroscopy and Microscopy *63*
3.3.2.3 Nonlinear Spectroscopies: Second-harmonic Generation and Sum Frequency Generation Spectroscopies *64*
3.3.3 Electron Paramagnetic Resonance *65*
3.3.4 (Synchrotron) X-ray Spectroscopies *66*
3.3.4.1 Photoelectron Spectroscopy *66*
3.3.4.2 X-ray Absorption Spectroscopy (XAS, XANES, and EXAFS) *68*
3.4 Outlook and Future Perspectives *68*
References *69*

4 Principles and Limitations of Photoelectrochemical Fuel Generation *77*
Bastian Mei and Kasper Wenderich
4.1 Introduction *77*
4.2 Photoelectrochemical Energy Storage *78*
4.2.1 Thermodynamic Requirements and Driving Forces *78*
4.2.2 Basics of Semiconductors and the Semiconductor/Electrolyte Interface *80*
4.2.3 Semiconductor/Electrolyte Interface Under Illumination *84*
4.2.4 Devices and Efficiencies *86*
4.2.4.1 Device Configurations *86*
4.2.4.2 Device Figures of Merit and System Efficiencies *87*
4.2.4.3 Theoretical Limitations of PEC Solar Fuel Production *90*
4.2.4.4 Theoretical Limitations of PEC Solar Fuel Production – Beyond Water Splitting *93*
4.2.5 Surface Modification *94*
4.2.5.1 Integration of Electrocatalysts *95*
4.2.5.2 Stability of PEC Device – Protection Layers/Surface Coatings *96*
4.2.6 Short Summary *97*
References *98*

5 Photocatalysis – The Heterogeneous Catalysis Perspective *101*
Pawel Naliwajko and Jennifer Strunk
5.1 Introduction *101*
5.1.1 General Function of Classical Heterogeneous Catalysts *102*
5.1.2 Comparison of Classical Catalysis and Photocatalysis *103*
5.2 Examples of Relevant Catalytic Properties of Photocatalysts *109*
5.2.1 Consideration of Active Sites *109*
5.2.2 Nanosized Gold in Alcohol Oxidation *109*
5.2.3 Vanadium Oxide (Sub)monolayer Catalysts in Photocatalytic Alcohol Oxidation *113*
5.3 Conclusions *117*
References *118*

6 Insights into Photocatalysis from Computational Chemistry *127*
Stephen Rhatigan and Michael Nolan
6.1 Introduction *127*
6.2 Computational Descriptors *128*
6.2.1 Light Absorption *128*
6.2.2 Charge Carrier Separation *130*
6.2.3 Surface Reactivity *134*
6.3 Examples of Computational Studies of Photocatalyst Materials *138*
6.3.1 Metal Oxides *138*
6.3.2 Noble Metal Loading *139*
6.3.3 Metal Chalcogenides and Metal Phosphides *142*
6.3.4 Hetero- and Nanostructuring *144*
6.3.5 Charge Localization Models *146*
6.4 Conclusion *147*
References *149*

7 Selected Aspects of Photoreactor Engineering *155*
Dirk Ziegenbalg
7.1 Fundamentals of Photochemical Reaction Engineering *155*
7.2 Radiation Field and Rate of Reaction *160*
7.3 Light Sources *166*
7.4 Particularities of Different Types of Photocatalysts *173*
7.5 Types of Photoreactors *176*
7.6 Conclusions and Outlook *181*
Symbols and Abbreviations *182*
References *184*

8 Defects in Photocatalysis *187*
Greta Haselmann and Dominik Eder
8.1 Introduction *187*
8.1.1 Definition and Thermodynamics *187*

8.1.2 Classification *188*
8.1.2.1 Dimensionality *188*
8.1.2.2 Location: Surface, Subsurface, and Bulk *189*
8.1.3 Concepts in Defect Chemistry *190*
8.1.3.1 Charge Neutrality *190*
8.1.3.2 Intrinsic and Extrinsic Defect Pairs *190*
8.1.3.3 Nonstoichiometry vs. Substoichiometry *190*
8.1.3.4 Kröger–Vink Notation and Defect Diagrams *191*
8.1.3.5 Diffusion and Segregation *192*
8.1.4 How Are Defects Created? *192*
8.1.4.1 Intrinsic Defects *192*
8.1.4.2 Extrinsic Defects *193*
8.1.5 Characterization of Defects *194*
8.1.5.1 Quantification *196*
8.1.5.2 In Situ *196*
8.1.6 Effect of Defects on Material Properties *197*
8.1.6.1 Structural Changes/Physical Structure *197*
8.1.6.2 Electronic Changes/Electronic Structure *197*
8.2 Influence of Defects on the Photocatalytic Performance *199*
8.2.1 Location of the Defect *200*
8.2.1.1 Bulk: Charge Carrier Generation and Migration *200*
8.2.1.2 Surface: Adsorption Sites and Charge Transfer *202*
8.2.1.3 Optimized Treatment Conditions and Surface-to-bulk Ratio *204*
8.2.1.4 Subsurface Defects in Photocatalysis *206*
8.2.2 Deep vs. Shallow Trap States *206*
8.2.3 Strain-Induced Photocatalysis *207*
8.2.4 Dynamic Defects *208*
8.2.5 Defects of Higher Dimensionalities in Photocatalysis *208*
8.2.5.1 Black TiO_2 *210*
8.3 Concluding Remarks *213*
References *213*

9 Photocarrier Loss Pathways in Metal Oxide Absorber Materials for Photocatalysis Explored with Time-Resolved Spectroscopy: The Case of $BiVO_4$ *221*
Rainer Eichberger and Sönke Müller
9.1 Introduction *221*
9.2 Photodynamics of $BiVO_4$ – Carrier Trapping and Polaron Formation *224*
9.3 Conclusions *238*
References *238*

10 Metal-free Photocatalysts *245*
Josefine P. Hundt, Marco Weers, Vanessa Lührs, Dereje H. Taffa, and Michael Wark
10.1 Introduction *245*
10.2 Graphitic Carbon Nitrides *246*

10.2.1 Structure and Properties of g-C_3N_4 *246*
10.2.2 Application as Photocatalytic Active Material *249*
10.2.2.1 Photocatalytic Hydrogen Production *249*
10.2.2.2 Photocatalysis-Assisted Organic Synthesis *250*
10.2.2.3 Photocatalytic Reduction of CO_2 *252*
10.2.2.4 Photocatalytic Degradation of (Organic) Pollutants *254*
10.3 Covalent Organic Frameworks *254*
10.4 Conjugated Polymers *257*
10.4.1 Synthesis Strategies of Nanostructured Conducting Polymers *258*
10.4.2 Application as a Photocatalytic Active Material *260*
10.4.2.1 Hydrogen Evolution *261*
10.4.2.2 Pollutant Degradation *261*
10.5 Conclusions *263*
Acknowledgments *264*
References *264*

11 Photocatalytic Water Splitting: Fundamentals and Current Concepts *269*
Kazuhiro Takanabe
11.1 Solar Energy Conversion *269*
11.2 Photocatalyst: Fundamental Concept *270*
11.3 Reporting Protocol *272*
11.4 Photon Absorption *276*
11.5 Exciton Separation *276*
11.6 Carrier Transport *277*
11.7 Electrocatalysis *279*
11.8 Mass Transfer: Electrolyte *280*
11.9 Suppression of Back Reaction *280*
11.10 Photocatalytic Overall Water Splitting: State of the Art *281*
11.11 Concluding Remarks *283*
References *284*

12 Photocatalytic CO_2 Reduction and Beyond *287*
Minoo Tasbihi, Michael Schwarze, and Reinhard Schomäcker
12.1 Introduction *287*
12.2 Photocatalytic Reactions Utilizing CO_2 *290*
12.2.1 Photocatalytic Reduction of CO_2 by CH_4 (Dry Reforming) *292*
12.2.2 Photocatalytic Reduction of CO_2 by CH_4 and H_2O (Steam Reforming) *296*
12.2.3 Other Photocatalytic Reactions with CO_2 *298*
12.3 Summary *298*
References *299*

13 Photocatalytic NO_x Abatement *303*
Jonathan Z. Bloh
13.1 Introduction *303*
13.2 Basic Principle *304*

13.3 Reaction Pathway *305*
13.3.1 Intermediates, Selectivity *307*
13.4 Reaction Kinetics *308*
13.4.1 Guidelines for Accurate Performance Determination *310*
13.5 Strategies to Improve the Performance *312*
13.5.1 Strategies to Improve the Photocatalytic Activity *312*
13.5.2 Strategies to Improve the Spectral Response *314*
13.5.3 Strategies to Improve the Selectivity *317*
13.5.4 Summary of Material Developments *319*
13.6 Strategies to Incorporate the Catalysts into Building Materials *319*
13.7 Results from Field Tests and Simulations *321*
References *323*

14 Photoactive Nanomaterials: Applications in Wastewater Treatment and Their Environmental Fate *331*
Jang S. Chang and Meng N. Chong
14.1 Introduction *331*
14.2 Photoactive Semiconductor Nanomaterials and Their Applications in Wastewater Treatment *332*
14.2.1 Nano-TiO_2 *332*
14.2.2 Nano-ZnO *334*
14.2.3 Nano-Fe_2O_3 *336*
14.2.4 Nano-WO_3 *337*
14.3 Environmental Fate and Behavior of Photoactive Nanomaterials in Wastewater Treatment Processes *338*
14.3.1 Prevalence, Occurrence, and Routes of Nanomaterials into the Environment *338*
14.3.2 Fate and Transformation Processes of Nanomaterials *339*
14.3.2.1 Aggregation and Agglomeration *339*
14.3.2.2 Photochemical Transformation *342*
14.3.2.3 Redox Reactions *342*
14.3.2.4 Adsorption of Macromolecules *343*
14.3.2.5 Biotransformation *344*
14.4 Environmental Effects of Nanomaterials Toward Wastewater Treatment Processes *344*
14.5 Conclusion *345*
References *346*

Index *351*