

Contents

Preface *xi*

1 Introduction *1*

- 1.1 Whispering-Gallery-Mode Microcavities *1*
- 1.2 Applications of Whispering-Gallery-Mode Microcavities *2*
- 1.3 Ultra-High Q Whispering-Gallery-Mode Microcavities *5*
- 1.4 Mode Q Factors for Semiconductor Microlasers *6*
- 1.4.1 Output Efficiency and Mode Q Factor *6*
- 1.4.2 Measurement of Mode Q Factor *7*
- 1.5 Book Overview *10*
- References *11*

2 Multilayer Dielectric Slab Waveguides *13*

- 2.1 Introduction *13*
- 2.2 TE and TM Modes in Slab Waveguides *14*
- 2.3 Modes in Symmetric Three-Layer Slab Waveguides *15*
- 2.3.1 TE Modes in Three-Layer Slab Waveguides *15*
- 2.3.2 TM Modes in Three-Layer Slab Waveguides *17*
- 2.3.3 Guided and Radiation Modes *17*
- 2.4 Eigenvalue Equations for Multilayer Slab Complex Waveguides *18*
- 2.4.1 Eigenvalue Equation for TE Modes *19*
- 2.4.2 Eigenvalue Equation for TM Modes *21*
- 2.4.3 Phase Shift of Total Internal Reflection *21*
- 2.5 Eigenvalue Equations for One-Dimensional Multilayer Waveguides *22*
- 2.5.1 Eigenvalue Equation for Vertical-Cavity Surface-Emitting Lasers *22*
- 2.5.2 Resonance Condition for the Fabry–Perot Cavity *24*
- 2.5.3 Mode Selection for Distributed Feedback Lasers *26*
- 2.6 Mode Gain and Optical Confinement Factor *28*
- 2.6.1 Optical Confinement Factor Based on Power Flow *28*
- 2.6.2 Mode Gain for TE Modes *29*
- 2.6.3 Mode Gain for TM Modes *30*

2.7	Numerical Results of Optical Confinement Factors	31
2.7.1	Edge-Emitting Semiconductor Lasers	31
2.7.2	Si-on-SiO ₂ Slab Waveguide	32
2.7.3	Vertical-Cavity Surface-Emitting Lasers	33
2.8	Effective Index Method	35
	References	36
3	FDTD Method and Padé Approximation	37
3.1	Introduction	37
3.2	Basic Principle of FDTD Method	38
3.2.1	Maxwell's Equation	38
3.2.2	2D FDTD Method in Cartesian Coordinate System	38
3.2.3	3D FDTD Method in Cartesian Coordinate System	41
3.2.4	3D FDTD Method in Cylindrical Coordinate System	43
3.2.5	Numerical Stability Condition	45
3.2.6	Absorption Boundary Condition	46
3.2.7	FDTD Simulation of Microcavities	48
3.3	Padé Approximation for Time-Domain Signal Processing	50
3.3.1	Padé Approximation with Baker's Algorithm	50
3.3.2	Calculation of Intensity Spectra for Oscillators	52
3.4	Examples of FDTD Technique and Padé Approximation	53
3.4.1	Simulation for Coupled Microdisks	53
3.4.2	Simulation for Microring Channel Drop Filters	54
3.4.3	Light Delay Simulation for Coupled Microring Resonators	57
3.4.4	Calculation of Propagation Loss in Photonic Crystal Waveguides	59
3.5	Summary	62
	References	62
4	Deformed and Chaotic Microcavity Lasers	65
4.1	Introduction	65
4.2	Nondeformed Circular Microdisk Lasers	65
4.2.1	Whispering-Gallery Modes in Circular Microdisks	65
4.2.2	Circular Microdisk Semiconductor Lasers	70
4.3	Deformed Microcavity Lasers with Discontinuous Boundary	70
4.3.1	Microdisk Lasers with a Local Boundary Defect	70
4.3.2	Spiral-Shaped Microcavity Lasers	72
4.3.3	Waveguide-Connected Spiral Microcavity Lasers	75
4.4	Chaotic Microcavity Lasers with Smoothly Deformed Boundary	75
4.4.1	Quadrupolar-Shaped Microcavity Lasers with Directional Emission	76
4.4.2	Limaçon Microcavity Lasers with Unidirectional Emission	79
4.4.3	Wavelength-Scale Microcavity Lasers with Unidirectional Emission	82
4.4.4	Waveguide-Coupled Chaotic Microcavity Lasers	86
4.5	Summary	87
	References	88

5	Unidirectional Emission Microdisk Lasers	91
5.1	Introduction	91
5.2	Mode Coupling in Waveguide-Connected Microdisks	92
5.2.1	Whispering-Gallery Modes in Circular Microdisks	92
5.2.2	Mode Coupling in Waveguide-Connected Microdisks	94
5.3	Waveguide-Connected Unidirectional Emission Microdisk Lasers	100
5.3.1	Lasing Characteristics of Unidirectional Emission Microdisk Lasers	100
5.3.2	Direct Modulation Characteristics of Unidirectional Emission Microdisk Lasers	103
5.4	Unidirectional Emission Microring Lasers	107
5.5	Unidirectional Emission Hybrid Deformed-Microring Lasers	111
5.6	Wide-Angle Emission and Multiport Microdisk Lasers	113
5.6.1	Wide-Angle Emission-Deformed Microdisk Lasers	113
5.6.2	Multiport Output Microdisk Lasers	117
5.7	Summary	119
	References	119
6	Equilateral-Triangle-Resonator Microlasers	123
6.1	Introduction	123
6.2	Mode Analysis Based on the ETR Symmetry	123
6.2.1	Wave Equations for TE and TM Modes	123
6.2.2	Transverse Modes by Unfolding Light Ray in the ETR	124
6.2.3	Evanescent Fields in External Regions	125
6.2.4	Eigenvalue Equation	127
6.3	Mode-Field Distributions	128
6.3.1	Mode Degeneracy and Classify	128
6.3.2	Comparisons of Analytical Solutions with Simulated Results	129
6.3.3	Size Limit for ETR	129
6.4	Far-Field Emission and Waveguide-Output Coupling	131
6.4.1	Mode Q-Factor Calculated by Far-Field Emission	131
6.4.2	Output Coupling by Connecting a Waveguide	133
6.5	Mode Analysis Using Reflected Phase Shift of Plane Wave	135
6.5.1	Mode Analysis Using Mode Light Ray Approximation	135
6.5.2	Comparison of Mode Q Factors	138
6.5.3	Effect of Metal Layer on Mode Confinement	139
6.6	Mode Characteristics of ETR Microlasers	140
6.6.1	Device Fabrication	140
6.6.2	Lasing Characteristics	142
6.7	Summary	145
	References	145
7	Square Microcavity Lasers	147
7.1	Introduction	147
7.2	Analytical Solution of Confined Modes	148
7.3	Symmetry Analysis and Mode Coupling	150

7.4	Mode Analysis for High Q Modes	154
7.5	Waveguide-Coupled Square Microcavities	157
7.6	Directional-Emission Square Semiconductor Lasers	163
7.7	Dual-Mode Lasing Square Lasers with a Tunable Interval	165
7.8	Application of Dual-Mode Square Microlasers	168
7.9	Lasing Spectra Controlled by Output Waveguides	171
7.10	Circular-Side Square Microcavity Lasers	174
7.11	Summary	180
	References	181

8 Hexagonal Microcavity Lasers and Polygonal Microcavities 185

8.1	Introduction	185
8.2	Mode Characteristics of Regular Polygonal Microcavities	186
8.2.1	Symmetry Analyses Based on Group Theory	186
8.2.2	Numerical Simulations of WGMs in Regular Polygonal Microcavities	190
8.2.3	Circular-Side Polygonal Microcavities	193
8.3	WGMS in Hexagonal Microcavities	197
8.3.1	Periodic Orbits in Hexagonal Microcavities	197
8.3.2	Symmetry Analyses and Mode Coupling	200
8.3.3	Numerical Simulation of WGMs in Hexagonal Microcavities	201
8.3.4	WGMs in Wavelength-Scale Hexagonal Microcavities	203
8.4	Unidirectional Emission Hexagonal Microcavity Lasers	205
8.4.1	Waveguide-Coupled Hexagonal Microcavity Lasers	206
8.4.2	Circular-Side Hexagonal Microcavity Lasers	209
8.5	Octagonal Resonator Microlasers	211
8.6	Summary	214
	References	215

9 Vertical Loss for 3D Microcavities 219

9.1	Introduction	219
9.2	Numerical Method for the Simulation of 3D Microcavities	220
9.2.1	Effective Index Method	220
9.2.2	S-Matrix Method	222
9.3	Control of Vertical Radiation Loss for Circular Microcavities	225
9.3.1	Mode Coupling and Vertical Radiation Loss	225
9.3.2	Semiconductor Microcylinder Lasers with the Sizes Limited by Vertical Radiation Loss	230
9.3.3	Cancellation of Vertical Radiation Loss by Destructive Interference	236
9.4	Vertical Radiation Loss for Polygonal Microcavities	245
9.4.1	3D Equilateral-Triangular Microcavity with Weak Vertical Waveguiding	245
9.4.2	3D Square Microcavity with Weak Vertical Waveguiding	246
9.5	Summary	247
	References	249

10	Nonlinear Dynamics for Microcavity Lasers	251
10.1	Introduction	251
10.2	Rate Equation Model with Optical Injection	253
10.3	Dynamical States of Rate Equations with Optical Injection	255
10.4	Small Signal Analysis of Rate Equations	261
10.5	Experiments of Optical Injection Microdisk Lasers	263
10.5.1	Nonlinear Dynamics Under Optical Injection	263
10.5.2	Comparison Between Experiment and Simulated Results	268
10.5.3	Modulation Bandwidth Enhancement Under Optical Injection	269
10.6	Microwave Generation in Microlaser with Optical Injection	271
10.7	Integrated Twin-Microlaser with Mutually Optical Injection	275
10.8	Discussion and Conclusion	276
	References	278
11	Hybrid-Cavity Lasers	283
11.1	Introduction	283
11.2	Reflectivity of a WGM Resonator	284
11.3	Mode Q-Factor Enhancement for Hybrid-Modes	286
11.4	Hybrid Mode-Field Distributions	288
11.5	Fabrication of Hybrid Lasers	290
11.6	Q-Factor Enhancement and Lasing Characteristics	292
11.7	Robust Single-Mode Operation	295
11.8	Optical Bistability for HSRLS	297
11.9	All-Optical Switching	302
11.10	All-Optical Logic Gates	306
11.11	Hybrid Square/Rhombus-Rectangular Lasers (HSRRLS)	309
11.12	Summary	312
	References	314
	Index	317