

## Contents

### Preface *xiii*

|          |                                                                          |           |
|----------|--------------------------------------------------------------------------|-----------|
| <b>1</b> | <b>Climate and Climate Models</b>                                        | <b>1</b>  |
| 1.1      | Defining Climate                                                         | 3         |
| 1.2      | Elementary Climate System Anatomy                                        | 7         |
| 1.3      | Radiation and Climate                                                    | 9         |
| 1.3.1    | Solar Radiation                                                          | 9         |
| 1.3.2    | Albedo of the Earth–Atmosphere System                                    | 13        |
| 1.3.3    | Terrestrial Infrared Radiation into Space (The IR or Longwave Radiation) | 14        |
| 1.4      | Hierarchy of Climate Models                                              | 15        |
| 1.4.1    | General Circulation Models (GCMs)                                        | 16        |
| 1.4.2    | Energy Balance Climate Models                                            | 17        |
| 1.4.3    | Adjustable Parameters in Phenomenological Models                         | 19        |
| 1.5      | Greenhouse Effect and Modern Climate Change                              | 20        |
| 1.6      | Reading This Book                                                        | 20        |
| 1.7      | Cautionary Note and Disclaimer                                           | 22        |
|          | Notes on Further Reading                                                 | 23        |
|          | Exercises                                                                | 23        |
| <br>     |                                                                          |           |
| <b>2</b> | <b>Global Average Models</b>                                             | <b>27</b> |
| 2.1      | Temperature and Heat Balance                                             | 27        |
| 2.1.1    | Blackbody Earth                                                          | 28        |
| 2.1.2    | Budyko's Empirical IR Formula                                            | 29        |
| 2.1.3    | Climate Sensitivity                                                      | 30        |
| 2.1.4    | Climate Sensitivity and Carbon Dioxide                                   | 31        |
| 2.2      | Time Dependence                                                          | 31        |
| 2.2.1    | Frequency Response of Global Climate                                     | 32        |
| 2.2.2    | Forcing with Noise                                                       | 35        |
| 2.2.3    | Predictability from Initial Conditions                                   | 37        |
| 2.2.4    | Probability Density of the Temperature                                   | 39        |
| 2.3      | Spectral Analysis                                                        | 40        |
| 2.3.1    | White Noise Spectral Density                                             | 41        |
| 2.3.2    | Spectral Density and Lagged Correlation                                  | 41        |
| 2.3.3    | AR1 Climate Model Spectral Density                                       | 42        |

|          |                                                      |           |
|----------|------------------------------------------------------|-----------|
| 2.3.4    | Continuous Time Case                                 | 42        |
| 2.4      | Nonlinear Global Model                               | 44        |
| 2.4.1    | Ice-Albedo Feedback                                  | 44        |
| 2.4.2    | Linear Stability Analysis: A Slope/Stability Theorem | 46        |
| 2.4.3    | Relaxation Time and Sensitivity                      | 47        |
| 2.4.4    | Finite Amplitude Stability Analysis                  | 48        |
| 2.4.5    | Potential Function and Noise Forcing                 | 49        |
| 2.4.6    | Relation to Critical Opalescence                     | 52        |
| 2.5      | Summary                                              | 52        |
|          | Suggestions for Further Reading                      | 53        |
|          | Exercises                                            | 53        |
| <b>3</b> | <b>Radiation and Vertical Structure</b>              | <b>57</b> |
| 3.1      | Radiance and Radiation Flux Density                  | 58        |
| 3.2      | Equation of Transfer                                 | 61        |
| 3.2.1    | Extinction and Emission                              | 61        |
| 3.2.2    | Terrestrial Radiation                                | 62        |
| 3.3      | Gray Atmosphere                                      | 63        |
| 3.4      | Plane-Parallel Atmosphere                            | 64        |
| 3.5      | Radiative Equilibrium                                | 65        |
| 3.6      | Simplified Model for Water Vapor Absorber            | 68        |
| 3.7      | Cooling Rates                                        | 72        |
| 3.8      | Solutions for Uniform-Slab Absorbers                 | 73        |
| 3.9      | Vertical Heat Conduction                             | 75        |
| 3.9.1    | $K > 0$                                              | 77        |
| 3.10     | Convective Adjustment Models                         | 77        |
| 3.11     | Lessons from Simple Radiation Models                 | 79        |
| 3.12     | Criticism of the Gray Spectrum                       | 80        |
| 3.13     | Aerosol Particles                                    | 82        |
|          | Notes for Further Reading                            | 83        |
|          | Exercises                                            | 83        |
| <b>4</b> | <b>Greenhouse Effect and Climate Feedbacks</b>       | <b>85</b> |
| 4.1      | Greenhouse Effect without Feedbacks                  | 85        |
| 4.2      | Infrared Spectra of Outgoing Radiation               | 85        |
| 4.2.1    | Greenhouse Gases and the Record                      | 92        |
| 4.2.2    | Greenhouse Gas Computer Experiments                  | 92        |
| 4.3      | Summary of Assumptions and Simplifications           | 99        |
| 4.4      | Log Dependence of the $\text{CO}_2$ Forcing          | 101       |
| 4.5      | Runaway Greenhouse Effect                            | 102       |
| 4.6      | Climate Feedbacks and Climate Sensitivity            | 105       |
| 4.6.1    | Equilibrium Feedback Formalism                       | 107       |
| 4.7      | Water Vapor Feedback                                 | 108       |
| 4.8      | Ice Feedback for the Global Model                    | 109       |
| 4.9      | Probability Density of Climate Sensitivity           | 110       |
| 4.10     | Middle Atmosphere Temperature Profile                | 112       |
| 4.10.1   | Middle Atmosphere Responses to Forcings              | 113       |

|          |                                                             |            |
|----------|-------------------------------------------------------------|------------|
| 4.11     | Conclusion                                                  | 115        |
|          | Notes for Further Reading                                   | 116        |
|          | Exercises                                                   | 116        |
| <b>5</b> | <b>Latitude Dependence</b>                                  | <b>119</b> |
| 5.1      | Spherical Coordinates                                       | 120        |
| 5.2      | Incoming Solar Radiation                                    | 121        |
| 5.3      | Extreme Heat Transport Cases                                | 122        |
| 5.4      | Heat Transport Across Latitude Circles                      | 122        |
| 5.5      | Diffusive Heat Transport                                    | 123        |
| 5.6      | Deriving the Legendre Polynomials                           | 125        |
| 5.6.1    | Properties of Legendre Polynomials                          | 127        |
| 5.6.2    | Fourier–Legendre Series                                     | 128        |
| 5.6.3    | Irregular Solutions                                         | 128        |
| 5.7      | Solution of the Linear Model with Constant Coefficients     | 129        |
| 5.8      | The Two-Mode Approximation                                  | 129        |
| 5.9      | Poleward Transport of Heat                                  | 133        |
| 5.10     | Budyko’s Transport Model                                    | 134        |
| 5.11     | Ring Heat Source                                            | 136        |
| 5.12     | Advanced Topic: Formal Solution for More General Transports | 137        |
| 5.13     | Ice Feedback in the Two-Mode Model                          | 138        |
| 5.14     | Polar Amplification through Ice Cap Feedback                | 140        |
| 5.15     | Chapter Summary                                             | 141        |
| 5.15.1   | Parameter Count                                             | 142        |
|          | Notes for Further Reading                                   | 142        |
|          | Exercises                                                   | 142        |
| <b>6</b> | <b>Time Dependence in the 1-D Models</b>                    | <b>145</b> |
| 6.1      | Differential Equation for Time Dependence                   | 146        |
| 6.2      | Decay of Anomalies                                          | 146        |
| 6.2.1    | Decay of an Arbitrary Anomaly                               | 147        |
| 6.3      | Seasonal Cycle on a Homogeneous Planet                      | 148        |
| 6.4      | Spread of Diffused Heat                                     | 153        |
| 6.4.1    | Evolution on a Plane                                        | 155        |
| 6.5      | Random Winds and Diffusion                                  | 157        |
| 6.6      | Numerical Methods                                           | 159        |
| 6.6.1    | Explicit Finite Difference Method                           | 159        |
| 6.6.2    | Semi-Implicit Method                                        | 162        |
| 6.7      | Spectral Methods                                            | 163        |
| 6.7.1    | Galerkin or Spectral Method                                 | 163        |
| 6.7.2    | Pseudospectral Method                                       | 164        |
| 6.8      | Summary                                                     | 166        |
| 6.8.1    | Parameter Count                                             | 166        |
|          | Notes for Further Reading                                   | 167        |
|          | Exercises                                                   | 167        |
| 6.9      | Appendix to Chapter 6: Solar Heating Distribution           | 169        |
| 6.9.1    | The Elliptical Orbit of the Earth                           | 171        |

|          |                                                     |            |
|----------|-----------------------------------------------------|------------|
| 6.9.2    | Relation Between Declination and Obliquity          | 172        |
| 6.9.3    | Expansion of $S(\mu, t)$                            | 172        |
| <b>7</b> | <b>Nonlinear Phenomena in EBMs</b>                  | <b>175</b> |
| 7.1      | Formulation of the Nonlinear Feedback Model         | 176        |
| 7.2      | Stürm–Liouville Modes                               | 178        |
| 7.2.1    | Orthogonality of SL Modes                           | 179        |
| 7.3      | Linear Stability Analysis                           | 180        |
| 7.4      | Finite Perturbation Analysis and Potential Function | 184        |
| 7.4.1    | Neighborhood of an Extremum                         | 185        |
| 7.4.2    | Relation to Gibbs Energy or Entropy                 | 187        |
| 7.4.3    | Attractor Basins—Numerical Example                  | 187        |
| 7.5      | Small Ice Cap Instability                           | 187        |
| 7.5.1    | Perturbation of an Exact Ice-Free Solution          | 190        |
| 7.5.2    | Frequency Dependence of the Length Scale            | 191        |
| 7.6      | Snow Caps and the Seasonal Cycle                    | 193        |
| 7.7      | Mengel’s Land-Cap Model                             | 193        |
| 7.8      | Chapter Summary                                     | 196        |
|          | Notes for Further Reading                           | 199        |
|          | Exercises                                           | 199        |
| <b>8</b> | <b>Two Horizontal Dimensions and Seasonality</b>    | <b>203</b> |
| 8.1      | Beach Ball Seasonal Cycle                           | 203        |
| 8.2      | Eigenfunctions in the Bounded Plane                 | 205        |
| 8.3      | Eigenfunctions on the Sphere                        | 208        |
| 8.3.1    | Laplacian Operator on the Sphere                    | 208        |
| 8.3.2    | Longitude Functions                                 | 209        |
| 8.3.3    | Latitude Functions                                  | 209        |
| 8.4      | Spherical Harmonics                                 | 211        |
| 8.4.1    | Orthogonality                                       | 211        |
| 8.4.2    | Truncation                                          | 212        |
| 8.5      | Solution of the EBM with Constant Coefficients      | 212        |
| 8.6      | Introducing Geography                               | 214        |
| 8.7      | Global Sinusoidal Forcing                           | 216        |
| 8.8      | Two-Dimensional Linear Seasonal Model               | 217        |
| 8.8.1    | Adjustment of Free Parameters                       | 219        |
| 8.9      | Present Seasonal Cycle Comparison                   | 220        |
| 8.9.1    | Annual Cycle                                        | 220        |
| 8.9.2    | Semiannual Cycle                                    | 220        |
| 8.10     | Chapter Summary                                     | 220        |
|          | Notes for Further Reading                           | 224        |
|          | Exercises                                           | 224        |
| <b>9</b> | <b>Perturbation by Noise</b>                        | <b>229</b> |
| 9.1      | Time-Independent Case for a Uniform Planet          | 230        |
| 9.2      | Time-Dependent Noise Forcing for a Uniform Planet   | 234        |
| 9.3      | Green’s Function on the Sphere: $f = 0$             | 235        |

|           |                                                             |            |
|-----------|-------------------------------------------------------------|------------|
| 9.4       | Apportionment of Variance at a Point                        | 237        |
| 9.5       | Stochastic Model with Realistic Geography                   | 238        |
| 9.6       | Thermal Decay Modes with Geography                          | 243        |
| 9.6.1     | Statistical Properties of TDMs                              | 246        |
|           | Notes for Further Reading                                   | 248        |
|           | Exercises                                                   | 249        |
| <b>10</b> | <b>Time-Dependent Response and the Ocean</b>                | <b>253</b> |
| 10.1      | Single-Slab Ocean                                           | 254        |
| 10.1.1    | Examples with a Single Slab                                 | 255        |
| 10.1.2    | Eventual Leveling of the Forcing                            | 258        |
| 10.2      | Penetration of a Periodic Heating at the Surface            | 259        |
| 10.3      | Two-Slab Ocean                                              | 262        |
| 10.3.1    | Decay of an Anomaly with Two Slabs                          | 266        |
| 10.3.2    | Response to Ramp Forcing with Two Slabs                     | 268        |
| 10.4      | Box-Diffusion Ocean Model                                   | 269        |
| 10.5      | Steady State of Upwelling-Diffusion Ocean                   | 271        |
| 10.5.1    | All-Ocean Planetary Responses                               | 273        |
| 10.5.2    | Ramp Forcing                                                | 274        |
| 10.6      | Upwelling Diffusion with (and without) Geography            | 274        |
| 10.7      | Influence of Initial Conditions                             | 276        |
| 10.8      | Response to Periodic Forcing with Upwelling Diffusion Ocean | 277        |
| 10.9      | Summary and Conclusions                                     | 280        |
|           | Exercises                                                   | 282        |
| <b>11</b> | <b>Applications of EBMs: Optimal Estimation</b>             | <b>287</b> |
| 11.1      | Introduction                                                | 287        |
| 11.2      | Independent Estimators                                      | 288        |
| 11.3      | Estimating Global Average Temperature                       | 290        |
| 11.3.1    | Karhunen–Loève Functions and Empirical Orthogonal Functions | 292        |
| 11.3.2    | Relationship with EBMs                                      | 296        |
| 11.4      | Deterministic Signals in the Climate System                 | 298        |
| 11.4.1    | Signal and Noise                                            | 299        |
| 11.4.2    | Fingerprint Estimator of Signal Amplitude                   | 299        |
| 11.4.3    | Optimal Weighting                                           | 299        |
| 11.4.4    | Interfering Signals                                         | 302        |
| 11.4.5    | All Four Signals Simultaneously                             | 303        |
| 11.4.6    | EBM-Generated Signals                                       | 306        |
| 11.4.7    | Characterizing Natural Variability                          | 310        |
| 11.4.8    | Detection Results                                           | 311        |
| 11.4.9    | Discussion of the Detection Results                         | 314        |
|           | Notes for Further Reading                                   | 317        |
|           | Exercises                                                   | 317        |
| <b>12</b> | <b>Applications of EBMs: Paleoclimate</b>                   | <b>321</b> |
| 12.1      | Paleoclimatology                                            | 321        |
| 12.1.1    | Interesting Problems for EBMs                               | 322        |

|        |                                          |            |
|--------|------------------------------------------|------------|
| 12.2   | Precambrian Earth                        | 325        |
| 12.3   | Glaciations in the Permian               | 327        |
| 12.3.1 | Modeling Permian Glacials                | 327        |
| 12.4   | Glacial Inception on Antarctica          | 331        |
| 12.5   | Glacial Inception on Greenland           | 333        |
| 12.6   | Pleistocene Glaciations and Milankovitch | 335        |
| 12.6.1 | EBMs in the Pleistocene: Short's Filter  | 338        |
| 12.6.2 | Last Interglacial                        | 346        |
| 12.6.3 | EBMs and Ice Volume                      | 348        |
| 12.6.4 | What Can Be Done without Ice Volume      | 350        |
|        | Notes for Further Reading                | 350        |
|        | Exercises                                | 351        |
|        | <b>References</b>                        | <b>353</b> |
|        | <b>Index</b>                             | <b>365</b> |