

# Contents

## List of Contributors *XI*

|          |                                                                                            |          |
|----------|--------------------------------------------------------------------------------------------|----------|
| <b>1</b> | <b>Introduction: Organic Photochromic Molecules</b>                                        | <b>1</b> |
|          | <i>Keitaro Nakatani, Jonathan Piard, Pei Yu, and Rémi Métivier</i>                         |          |
| 1.1      | Photochromic Systems                                                                       | 1        |
| 1.1.1    | General Introduction                                                                       | 1        |
| 1.1.2    | Basic Principles                                                                           | 4        |
| 1.1.3    | Photochromic Molecules: Some History                                                       | 5        |
| 1.2      | Organic Photochromic Molecules: Main Families                                              | 8        |
| 1.2.1    | Proton Transfer                                                                            | 9        |
| 1.2.2    | Trans–Cis Photoisomerization                                                               | 12       |
| 1.2.3    | Homolytic Cleavage                                                                         | 13       |
| 1.2.4    | Cyclization Reaction                                                                       | 14       |
| 1.2.4.1  | Spiropyrans, Spirooxazines, and Chromenes                                                  | 14       |
| 1.2.4.2  | Fulgides and Fulgimides                                                                    | 17       |
| 1.2.4.3  | Diarylethenes                                                                              | 18       |
| 1.3      | Molecular Design to Improve the Performance                                                | 20       |
| 1.3.1    | Figures of Merit                                                                           | 20       |
| 1.3.2    | Fatigue Resistance: Increasing the Number of Operating Cycles                              | 21       |
| 1.3.3    | Bistability: Avoiding Unwanted Thermal Back-Reaction in the Dark                           | 23       |
| 1.3.3.1  | Influence of Ethenic Bridge on the Thermal Stability of the B Form                         | 24       |
| 1.3.3.2  | Impact of the Heteroaryl Substituents on the Thermal Stability of the B Form               | 24       |
| 1.3.4    | Fast Photochromic Systems: Reverting Back Spontaneously to the Colorless State in a Glance | 25       |
| 1.3.5    | Gaining Efficiency of the Photoreaction: the Example of Diarylethenes                      | 26       |
| 1.4      | Conclusion                                                                                 | 31       |
|          | Irradiation at a Specific Wavelength: Isosbestic Point                                     | 32       |

Case A: When the Thermal Back-Reaction is Negligible Compared to the Photochemical Reaction (Typically P-type) 33

Case B: When the Thermal Back-Reaction is More Efficient than the Photochemical  $B \rightarrow A$  Reaction (Typically T type) 34

References 34

## 2 Photochromic Transitional Metal Complexes for

**Photosensitization** 47

*Chi-Chiu Ko and Vivian Wing-Wah Yam*

- 2.1 Introduction 47
- 2.2 Photosensitization of Stilbene- and Azo-Containing Ligands 48
- 2.3 Photosensitization of Spirooxazine-Containing Ligands 51
- 2.4 Photosensitization of Diarylethene-Containing Ligands 54
- 2.5 Photosensitization of Photochromic  $N^{\wedge}C$ -Chelate Organoboranes 63
- 2.6 Conclusion 65
- References 66

## 3 Multi-addressable Photochromic Materials 71

*Shangjun Chen, Wenlong Li, and Weihong Zhu*

- 3.1 Molecular Logic Gates 71
  - 3.1.1 Two-Input Logic Gates 71
  - 3.1.2 Combinatorial Logic Systems 74
    - 3.1.2.1 Half-Adder and Half-Subtractor 74
    - 3.1.2.2 Keypad Locks 77
    - 3.1.2.3 Digital Encoder and Decoder 82
- 3.2 Data Storage and Molecular Memory 84
  - 3.2.1 Fluorescence Spectroscopy 85
  - 3.2.2 Infrared Spectroscopy 90
  - 3.2.3 Optical Rotation 92
- 3.3 Gated Photochromores 95
  - 3.3.1 Hydrogen Bonding 95
  - 3.3.2 Coordination 98
  - 3.3.3 Chemical Reaction 99
- References 105

## 4 Photoswitchable Supramolecular Systems 109

*Guanglei Lv, Liang Chen, Haichuang Lan, and Tao Yi*

- 4.1 Introduction 109
- 4.2 Photoreversible Amphiphilic Systems 110
  - 4.2.1 Photoreversible Diarylethene-Based Amphiphilic System 110
  - 4.2.2 Photoreversible Azobenzene-Based Amphiphilic System 116
  - 4.2.3 Photoreversible Spiropyran-Based Amphiphilic System 119
- 4.3 Photoswitchable Host–Guest Systems 122
  - 4.3.1 Photocontrolled Supramolecular Self-Assembly 123

|          |                                                                                       |            |
|----------|---------------------------------------------------------------------------------------|------------|
| 4.3.2    | Photocontrolled Capture and Release of Guest Molecules                                | 128        |
| 4.3.3    | Fluorescent Switching Promoted by Host–Guest Interaction                              | 133        |
| 4.3.4    | Photoswitchable Molecular Devices                                                     | 137        |
| 4.4      | Photochromic Metal Complexes and Sensors                                              | 141        |
| 4.4.1    | Metal Complexes with Azobenzene Groups                                                | 141        |
| 4.4.2    | Metal Complexes with Diarylethene Groups                                              | 144        |
| 4.4.3    | Metal Complexes with Spirocyclic Groups                                               | 150        |
| 4.4.4    | Metal Complexes with Rhodamine                                                        | 152        |
| 4.5      | Other Light-Modulated Supramolecular Interactions                                     | 153        |
| 4.6      | Conclusions and Outlook                                                               | 159        |
|          | References                                                                            | 159        |
| <b>5</b> | <b>Light-Gated Chemical Reactions and Catalytic Processes</b>                         | <b>167</b> |
|          | <i>Robert Göstl, Antti Senf, and Stefan Hecht</i>                                     |            |
| 5.1      | Introduction                                                                          | 167        |
| 5.2      | General Design Considerations                                                         | 169        |
| 5.3      | Photoswitchable Stoichiometric Processes                                              | 171        |
| 5.3.1    | Starting Material Control                                                             | 172        |
| 5.3.2    | Product Control                                                                       | 175        |
| 5.3.3    | Starting Material and Product Control                                                 | 177        |
| 5.3.4    | Template Control                                                                      | 178        |
| 5.4      | Photoswitchable Catalytic Processes                                                   | 182        |
| 5.4.1    | Activity Control                                                                      | 182        |
| 5.4.2    | Selectivity Control                                                                   | 185        |
| 5.5      | Outlook                                                                               | 187        |
|          | References                                                                            | 190        |
| <b>6</b> | <b>Surface and Interfacial Photoswitches</b>                                          | <b>195</b> |
|          | <i>Junji Zhang and He Tian</i>                                                        |            |
| 6.1      | Photochromic SAMs                                                                     | 196        |
| 6.1.1    | Photochromic Electrode SAMs                                                           | 196        |
| 6.1.2    | Photoreversible Functional Surfaces                                                   | 198        |
| 6.1.2.1  | Photoswitchable Surface Wettability                                                   | 198        |
| 6.1.2.2  | Photocontrolled Capture-and-Release System                                            | 202        |
| 6.1.2.3  | Smart Photochromic Surface Based on Supramolecular Systems                            | 203        |
| 6.1.2.4  | Photochromic Surface for Molecular Data Processing                                    | 205        |
| 6.2      | Photoregulated Nanoparticles                                                          | 206        |
| 6.2.1    | Photochromic Switches on Traditional Metal Nanoparticles                              | 208        |
| 6.2.1.1  | Photoswitching on the Metal Nanoparticles                                             | 208        |
| 6.2.1.2  | Photoinduced Reversible Aggregation of Nanoparticles and Their Versatile Applications | 210        |
| 6.2.2    | Photochromic Switches on Other Novel Functional Nanoparticles                         | 215        |
| 6.2.2.1  | Photoswitchable Magnetic Nanoparticles                                                | 215        |

|          |                                                                                                                |            |
|----------|----------------------------------------------------------------------------------------------------------------|------------|
| 6.2.2.2  | Photomanipulated Quantum Dots                                                                                  | 215        |
| 6.2.2.3  | Photochromic with Upconversion Nanoparticles                                                                   | 218        |
| 6.2.3    | Photocontrolled Mesoporous Silica Nanoparticles                                                                | 220        |
| 6.2.3.1  | Photo-nanovalves                                                                                               | 220        |
| 6.2.3.2  | Photo-nanoimpellers                                                                                            | 223        |
| 6.2.3.3  | NIR Light-Triggered MSN Drug Delivery and Therapeutic Systems                                                  | 224        |
| 6.3      | Photocontrolled Surface Conductance                                                                            | 226        |
| 6.3.1    | Photochromic Conductance Switching Based on SAMs                                                               | 226        |
| 6.3.2    | Photochromic Conductance on Single-Molecule Level                                                              | 228        |
|          | References                                                                                                     | 231        |
| <b>7</b> | <b>Hybrid Organic/Photochromic Approaches to Generate Multifunctional Materials, Interfaces, and Devices</b>   | <b>243</b> |
|          | <i>Emanuele Orgiu and Paolo Samori</i>                                                                         |            |
| 7.1      | Introduction                                                                                                   | 243        |
| 7.1.1    | Tuning the Charge Injection in Organic-Based Devices by Means of Photochromic Molecules                        | 245        |
| 7.2      | Tuning the Polaronic Transport in Organic Semiconductors by Means of Photochromic Molecules                    | 251        |
| 7.2.1    | Photochromic Molecules and Organic Semiconductors Incorporated in Dyads, Multiads, and Polymers                | 251        |
| 7.2.2    | The Multilayer Approach                                                                                        | 254        |
| 7.2.3    | The Blending Approach                                                                                          | 255        |
| 7.3      | Photoresponsive Dielectric Interfaces and Bulk                                                                 | 262        |
| 7.4      | Conclusions and Future Outlooks                                                                                | 267        |
|          | Acknowledgments                                                                                                | 268        |
|          | References                                                                                                     | 268        |
| <b>8</b> | <b>Photochromic Bulk Materials</b>                                                                             | <b>281</b> |
|          | <i>Masakazu Morimoto, Seiya Kobatake, Masahiro Irie, Hari Krishna Bisoyi, Quan Li, Sheng Wang, and He Tian</i> |            |
| 8.1      | Photochromic Polymers                                                                                          | 281        |
| 8.1.1    | Glass Transition Temperature                                                                                   | 281        |
| 8.1.2    | Fluorescence                                                                                                   | 283        |
| 8.1.3    | Conductivity                                                                                                   | 287        |
| 8.1.4    | Living Radical Polymerization                                                                                  | 288        |
| 8.1.5    | Surface Relief Grating                                                                                         | 290        |
| 8.1.6    | Photomechanical Effect                                                                                         | 290        |
| 8.2      | Single-Crystalline Photoswitches                                                                               | 293        |
| 8.2.1    | Crystalline-State Photochromic Materials                                                                       | 293        |
| 8.2.2    | Photochromic Diarylethene Single Crystals                                                                      | 293        |
| 8.2.3    | <i>In situ</i> X-ray Crystallographic Analysis of Photoisomerization Reaction                                  | 295        |
| 8.2.4    | Photoisomerization Quantum Yields                                                                              | 296        |

|          |                                                                 |            |
|----------|-----------------------------------------------------------------|------------|
| 8.2.5    | Multicolor Photochromism of Multicomponent Crystals             | 297        |
| 8.2.6    | Nanoperiodic Structures Fabricated by Photochromic Reactions    | 299        |
| 8.2.7    | Photoinduced Shape Changes and Mechanical Performance           | 301        |
| 8.3      | Photochromic Liquid Crystals                                    | 305        |
| 8.3.1    | Introduction                                                    | 305        |
| 8.3.2    | Spiropyran- and Spirooxazine-Based Photochromic Liquid Crystals | 309        |
| 8.3.3    | Diarylethene-Based Photochromic Liquid Crystals                 | 314        |
| 8.3.4    | Azobenzene-Based Photochromic Liquid Crystals                   | 320        |
| 8.3.5    | Other Photochromic Liquid Crystals                              | 327        |
| 8.3.6    | Conclusions and Outlook                                         | 328        |
| 8.4      | Photochromic Gels                                               | 329        |
| 8.4.1    | Introduction                                                    | 329        |
| 8.4.2    | Azobenzene Gels                                                 | 330        |
| 8.4.3    | Spiropyran and Spirooxazine Gels                                | 335        |
| 8.4.4    | Diarylethenes Gels                                              | 337        |
| 8.4.5    | Naphthopyran Gels                                               | 342        |
| 8.4.6    | The Other Photochromic Gels                                     | 343        |
| 8.4.7    | Conclusion                                                      | 346        |
|          | References                                                      | 346        |
| <b>9</b> | <b>Photochromic Materials in Biochemistry</b>                   | <b>361</b> |
|          | <i>Danielle Wilson and Neil R. Branda</i>                       |            |
| 9.1      | Introduction                                                    | 361        |
| 9.2      | Reversible Photochemical Switching of Biomaterial Function      | 362        |
| 9.3      | General Design Strategies and Considerations                    | 362        |
| 9.3.1    | Photoswitchable Tethers                                         | 364        |
| 9.3.1.1  | The Incorporation Method                                        | 364        |
| 9.3.1.2  | Considerations                                                  | 364        |
| 9.3.2    | Photoswitchable Small Molecules                                 | 365        |
| 9.3.2.1  | The Incorporation Method                                        | 365        |
| 9.3.2.2  | Considerations                                                  | 365        |
| 9.3.3    | Chromophore Selection                                           | 367        |
| 9.4      | Selected Examples                                               | 367        |
| 9.4.1    | Photoswitchable Enzymes                                         | 367        |
| 9.4.1.1  | Drug-Inspired Small Molecule Inhibitors                         | 367        |
| 9.4.1.2  | Phosphoribosyl Isomerase Inhibitor with Two Binding Units       | 370        |
| 9.4.1.3  | Direct Modification of Enzymes with Photochromic Groups         | 372        |
| 9.4.2    | Photoswitchable Peptides and Proteins                           | 373        |
| 9.4.2.1  | Peptide Cross-Linking                                           | 373        |
| 9.4.2.2  | Cyclic Antimicrobial Peptide                                    | 375        |
| 9.4.2.3  | Genetically Encoded Amino Acids                                 | 376        |
| 9.4.2.4  | Control of Motor Protein Function Using Site-Selective Mutation | 377        |

|           |                                                                                  |            |
|-----------|----------------------------------------------------------------------------------|------------|
| 9.4.3     | Photoswitchable Ion Channels and Receptors                                       | 379        |
| 9.4.3.1   | Photocontrol of Channel Activation and Desensitization with a Tethered Glutamate | 380        |
| 9.4.3.2   | Photocontrol of Insulin Release Using a Small Molecular Sulfonyleurea            | 380        |
| 9.4.3.3   | Photocontrol of Receptors Using Red Light                                        | 381        |
| 9.4.4     | Photoswitchable Nucleotides                                                      | 382        |
| 9.4.4.1   | Spiropyran-Modified Oligonucleotide Backbones                                    | 382        |
| 9.4.4.2   | Controlling RNA Duplex Hybridization with Light                                  | 384        |
| 9.4.4.3   | Diarylethene-Modified Oligonucleotides                                           | 385        |
| 9.5       | Summary                                                                          | 386        |
|           | References                                                                       | 386        |
| <b>10</b> | <b>Industrial Applications and Perspectives</b>                                  | <b>393</b> |
|           | <i>Junji Zhang and He Tian</i>                                                   |            |
| 10.1      | Industrialization and Commercialization of Organic Photochromic Materials        | 393        |
| 10.1.1    | Commercialized T-type Photochromic Materials                                     | 395        |
| 10.1.2    | Commercialized P-Type Photochromic Materials                                     | 398        |
| 10.2      | Perspectives for Organic Photochromic Materials                                  | 399        |
|           | References                                                                       | 409        |
|           | <b>Index</b>                                                                     | <b>417</b> |