Contents

List of symbols, abbreviations and acronyms										
Αŀ	Abstract									
Kurzfassung										
1	Intr	oductio	on	1						
2	Nor	linear	model-predictive control	5						
	2.1	Basic	idea and scope of model-predictive control	. 5						
	2.2	Process models								
	2.3	3 The optimal control problem		. 9						
	2.4	Nume	rical solution of the optimal control problem	. 10						
		2.4.1	Control vector parameterization	. 11						
		2.4.2	Discretization of the path constraints	. 12						
2.5 Economic NMPC				. 12						
		2.5.1	Economic NMPC as an extension of NMPC	. 13						
		2.5.2	Stability	. 14						
3	Was	stewate	er treatment modeling	15						
	3.1	Waste	ewater treatment technology	. 15						
		3.1.1	Physical and biochemical properties of wastewater	. 15						
		3.1.2	Wastewater treatment by the activated sludge process	. 16						
		3.1.3	The membrane bioreactor process	. 18						
	3.2	Mode	ling of membrane bioreactor plants	. 19						
		3.2.1	Activated sludge models	. 19						
		3.2.2	Influent modeling and prediction							
	3.3	Proce	sses and models used in this work	. 27						
		3.3.1	The BSM-MBR benchmark model	. 27						
		3.3.2	The two-reactor MBR model	. 33						



4	Stat	te of th	e art in activated sludge process control	37						
	4.1	Currer	nt practice	37						
	4.2	Model	-predictive control of activated sludge processes	4]						
		4.2.1	Setpoint-based MPC	4]						
		4.2.2	Economic NMPC	42						
	4.3	Conclu	usions	43						
		4.3.1	Limitations of the current practice in MBR control	43						
		4.3.2	Perspectives of setpoint-based and economic NMPC for MBR $$.	44						
5	Estimating the potential of economic NMPC of MBR under ideal condi-									
	tion			47						
	5.1	Design	of the economic NMPC law for the BSM-MBR process	48						
		5.1.1	General form of the dynamic optimization problem	49						
		5.1.2	Objective function							
		5.1.3	Control horizon	50						
		5.1.4	Constraints							
		5.1.5	Control inputs	52						
		5.1.6	Discretization of inputs and path constraints							
	5.2	5.2 Simulation procedure and implementation		55						
		5.2.1	Effluent limits	56						
		5.2.2	Configuration of the state-of-the-art reference controllers	57						
		5.2.3	Modification of the benchmarking simulation procedure for eco-							
			nomic NMPC	58						
		5.2.4	Implementation of the simulation	60						
	5.3	Results of the benchmarking simulation		60						
		5.3.1	Operating case 1: default BSM-MBR effluent limits	60						
		5.3.2	Operating case 2: strict effluent limits	65						
	5.4	Discus	sion	68						
6	Economic and ecological NMPC of MBR with output feedback									
	6.1	Hybrid	d nonlinear model-predictive control for MBR	72						
		6.1.1	Basic idea of hybrid NMPC and previous work							
		6.1.2	The hybrid NMPC law	77						
		6.1.3	Extension and generalization of the hybrid NMPC law for							
			closed-loop MBR control	81						
	6.2	State 6	estimation for the MBR process	87						
		6.2.1	State of the art in state estimation for wastewater treatment	88						
		6.2.2	The Extended Kalman Filter and its variants	89						

		3.2.3 Sensor model and EKF implementation for the MBR process	ss.	. 9			
	6.3	3 Control architecture design					
		3.3.1 Hierarchically structured approaches to model-based control	l.	. 99			
		3.3.2 A hierarchical control architecture for economic and ecologic	cal				
		NMPC		. 103			
		3.3.3 Tracking controller		. 104			
	6.4	Application to the MBR process model		. 100			
		3.4.1 Prediction and realization of the feed flow and pollutant of	on-				
		centrations		. 10			
		3.4.2 Controller and state estimator tuning		. 109			
		3.4.3 Results		. 113			
	6.5	Discussion		. 123			
7	Con	usions and outlook		12!			
Α	ASM model equations						
	A.1	ASM 1		. 129			
	A.2	ASM 3		. 129			
В	мв	process model equations		13			
	B.1	BSM-MBR process model		. 13			
	B.2	Two-tank MBR model		. 13			
c	Арр	ndix to Chapter 5		143			
	C.1	C.1 Reference controller parameters for BSM-MBR		. 14			
	C.2	Detailed eNMPC simulation results		. 14			
D	Appendix to Chapter 6						
	D.1	Output prediction used in the tracking controller algorithm		. 14			
	D.2	Transformations of logical expressions		. 15			
	D.3	Objective function bounds for the controller sequences		. 15			
Bi	bliog	phy		154			
Curriculum Vitae							