

Contents

1	Ellipsometry: A Survey of Concept	1
	Christoph Cobet	
1.1	Classification	1
1.2	Historical Context	3
1.3	Measurement Principles	5
1.3.1	Data Recording and Evaluation Steps	5
1.3.2	Determination of Ψ and Δ	8
1.3.3	Fresnel Coefficients	12
1.4	Dielectric Properties	18
1.4.1	Dispersion Models—Lorentz Oscillator	18
1.4.2	Inhomogeneous Media and Structured Interfaces	20
1.5	Ellipsometric Configurations	22
1.5.1	Null-Ellipsometer	22
1.5.2	Rotating Polarizer/Analyzer	22
1.5.3	Rotating Compensator	23
1.5.4	Photo-Elastic Modulator Ellipsometer	23
1.5.5	Dual Rotating Compensator	24
1.5.6	Reflection Anisotropy Spectroscopy	24
	References	24
Part I Biomolecules at Surfaces		
2	Adsorption of Proteins at Solid Surfaces	29
	Hans Arwin	
2.1	Introduction	29
2.1.1	Historical Background	29
2.1.2	Opportunities and Challenges	30
2.1.3	Objectives and Outline	31
2.2	Methodology—Experimental Aspects	32
2.3	Methodology—Modeling Aspects	33
2.3.1	Strategies to Determine Both Thickness and Refractive	
	Index	33

2.3.2	Spectral Representations of Protein Layers	36
2.3.3	Determination of Surface Mass Density	36
2.4	Applications	37
2.4.1	Protein Adsorption and Dynamics on Model Surfaces	37
2.4.2	Studies of Protein Layer Structure	37
2.4.3	Protein Layer Based Biosensing	41
2.4.4	Other Applications	43
2.5	Outlook	44
	References	44
3	DNA Structures on Silicon and Diamond	47
	Simona D. Pop, Karsten Hinrichs, Sylvia Wenmackers, Christoph Cobet, Norbert Esser, and Dietrich R.T. Zahn	
3.1	Introduction	47
3.2	Dielectric Function	49
3.3	Applications to Thin Biomolecular Films	51
3.3.1	Single DNA Bases	51
3.3.2	Single- and Double-Stranded DNA Molecules	53
3.4	Summary	58
	References	58
Part II Smart Polymer Surfaces and Films		
4	Glass Transition of Polymers with Different Architectures in the Confinement of Nanoscopic Films	63
	Michael Erber, Martin Tress, and Klaus-Jochen Eichhorn	
4.1	Polymers: A Unique Class of Materials and Their Physical Properties	63
4.2	Nanoscope Polymer Films vs. Bulk Polymers	65
4.2.1	Introduction	65
4.2.2	True or Mimicked Confinement Effects	66
4.2.3	Make a Long Controversial Story Short: Conclusion	67
4.3	Comparison of Analytical Methods for the Determination of Glass Transition of Polymers in Thin Polymer Films	67
4.3.1	Introduction	67
4.3.2	Ellipsometry	67
4.3.3	Broadband Dielectric Spectroscopy	67
4.3.4	AC-calorimetry	68
4.3.5	Other Techniques	69
4.4	Concepts of the Determination of Glass Transition Applying Ellipsometry	69
4.4.1	Single Wavelength Ellipsometry	70
4.4.2	Spectroscopic vis-Ellipsometry (SE)	71
4.5	Glass Transition in Thin Polymeric Films in Dependence on Film Thickness—Some Exclusive Examples	73
4.5.1	Effect of Polymer Architecture and Functional Groups	73

4.5.2	Effect of Interfacial Interactions Between Polymer and Substrate	74
4.6	Summary and Outlook	76
	References	76
5	Polymer Brushes, Hydrogels, Polyelectrolyte Multilayers: Stimuli-Responsivity and Control of Protein Adsorption	79
	Eva Bittrich, Petra Uhlmann, Klaus-Jochen Eichhorn, Karsten Hinrichs, Dennis Aulich, and Andreas Furchner	
5.1	Introduction	79
5.2	Ellipsometry on Swellable, Stimuli-Responsive Surface Layers	82
5.2.1	Hydrophilic Polymer Brushes	83
5.2.2	Hydrogels	89
5.2.3	Polyelectrolyte Multilayers	91
5.3	Concepts of Determining the Adsorbed Amount of Protein on Different Polymer Films	93
5.4	Protein Adsorption on Different Types of Smart Polymer Brushes	96
5.4.1	Polymer Brushes Preventing Protein Adsorption	96
5.4.2	Protein Adsorption at Polyelectrolyte Brushes	97
5.5	Conclusion	101
	References	102
	Part III Nanostructured Surfaces and Organic/Inorganic Hybrids	
6	Systems of Nanoparticles with SAMs and Polymers	109
	Thomas W.H. Oates	
6.1	Introduction	109
6.2	Modeling Nanoparticles: The Mie-Lorenz Solution	111
6.3	Distinguishing NPs Based on Their Dielectric Functions	112
6.3.1	Plasmonic Nanoparticles	113
6.3.2	Quantum Dots	115
6.4	Nanoparticles in Polymer Matrices	116
6.5	Nanoparticles and Self-assembled Monolayers	118
6.6	Effective Medium Approximations	120
6.7	Surface Coverage of 2-D Films	124
6.8	SEIRA	126
6.8.1	SEIRA Substrates	126
6.8.2	SEIRA Optical Models	127
6.8.3	Recent Advances in SEIRA	129
6.9	Conclusions and Outlook	130
	References	130
7	Detection of Organic Attachment onto Highly Ordered Three-Dimensional Nanostructure Thin Films by Generalized Ellipsometry and Quartz Crystal Microbalance with Dissipation Techniques	135
	Keith B. Rodenhausen, Daniel Schmidt, Charles Rice, Tino Hofmann, Eva Schubert, and Mathias Schubert	

7.1	Introduction	135
7.2	Surface Preparation	140
7.2.1	Glancing Angle Deposition	140
7.2.2	Atomic Layer Deposition	141
7.3	Theory	142
7.3.1	Generalized Ellipsometry	142
7.3.2	Quartz Crystal Microbalance with Dissipation	144
7.3.3	Additional Experimental Considerations	145
7.4	Review of Work in the Field	145
7.4.1	Fibronectin Protein Adsorption	146
7.4.2	Decanethiol Chemisorption	148
7.4.3	Surface-Enhanced Birefringence Chromatography	150
7.5	Conclusion	153
	References	153
8	Polarizing Natural Nanostructures	155
	Kenneth Järrendahl and Hans Arwin	
8.1	Introduction	155
8.2	Theoretical Details and Definitions	156
8.3	The Polarizing Environment	157
8.4	Polarization Sensitivity and Vision of Animals	159
8.5	Polarization by Animals	161
8.6	Polarized Light in Reflections from Beetles	162
8.7	Concluding Remarks	167
	References	168
Part IV. Thin Films of Organic Semiconductors for OPV, OLEDs and OTFT		
9	Polymer Blends and Composites	173
	Stergios Logothetidis	
9.1	Introduction	173
9.2	Organic Electronics Devices	175
9.3	Spectroscopic Ellipsometry for the Investigation of Optical and Electronic Properties of OE Nanolayers	178
9.3.1	Polymer: Fullerene Blends as Photoactive Layers for OPVs	179
9.3.2	PEDOT:PSS for Transparent Electrodes	187
9.4	Summary and Outlook	193
	References	193
10	Small Organic Molecules	197
	Ovidiu D. Gordan and Dietrich R.T. Zahn	
10.1	Introduction	197
10.2	Phthalocyanines and Molecular Orientation in Thin Films	198
10.3	Controlling the Molecular Orientation with a Molecular Template	207
10.4	Amorphous Organic Thin Films	210
10.5	Error Sources for Determination of Molecular Orientation	211

10.6 Ultra-Thin Films	214
References	217
Part V Developments in Ellipsometric Real-Time/In-situ Monitoring Techniques	
11 Coupling Spectroscopic Ellipsometry and Quartz Crystal Microbalance to Study Organic Films at the Solid-Liquid Interface	223
Ralf P. Richter, Keith B. Rodenhausen, Nico B. Eisele, and Mathias Schubert	
11.1 Introduction	224
11.2 Theory	225
11.2.1 Approaches to Analyze SE Data	225
11.2.2 Approaches to Analyze QCM-D Data	231
11.3 Practice	236
11.3.1 Experimental Setup	236
11.3.2 Data Acquisition Procedure	238
11.3.3 Data Analysis Procedure	239
11.4 Applications	241
11.4.1 Solvation and Swelling of Polymer Films	244
11.4.2 Calibration Curves	245
11.4.3 Trapped Solvent in Monolayers of Discrete Nanoscale Objects	246
11.5 Conclusions and Perspectives	246
References	247
12 TIRE and SPR-Enhanced SE for Adsorption Processes	249
Hans Arwin	
12.1 Introduction	249
12.2 Theory	252
12.2.1 Surface Plasmon-Polaritons	252
12.2.2 Surface Plasmon-Polariton Resonances in Ellipsometric Mode	255
12.3 Ellipsometric Setups for TIRE	256
12.4 Applications	258
12.4.1 TIRE for Bioadsorption Processes and Biosensing	258
12.4.2 Biolayer Imaging with TIRE	260
12.4.3 TIRE for Gas Adsorption Studies	261
12.5 Concluding Remarks and Outlook	262
References	263
13 In-line Quality Control of Organic Thin Film Fabrication on Rigid and Flexible Substrates	265
Agiris Laskarakis and Stergios Logothetidis	
13.1 Introduction	265
13.2 Adaptation of Spectroscopic Ellipsometry to r2r Fabrication Processes	266

13.3	Optical Properties of OE Nanomaterials by In-line SE	270
13.3.1	Inorganic Barrier Layers	270
13.3.2	PEDOT:PSS Transparent Electrodes	273
13.3.3	Multilayer Structures onto PET Rolls	279
13.3.4	P3HT:PCBM Nanolayers	280
13.4	Summary and Outlook	283
	References	283
14	Application of In-situ IR-Ellipsometry in Electrochemistry to Study Ultra-Thin Films	287
	Jörg Rappich, Karsten Hinrichs, Guoguang Sun, and Xin Zhang	
14.1	Introduction	287
14.2	Geometry for In-situ Electrochemical and IR-Ellipsometric Measurements on Si Surfaces	288
14.3	Preconditioning of the Si Surface by H-Termination	289
14.4	Grafting of Nitrobenzene from Diazonium Compound	290
14.5	Oxidation as Consequence of Side Reactions	293
14.6	Molecular Orientation	294
14.7	Thickness Determination	294
14.8	Deposition of Ultra-Thin Polymeric Layers from Pyrrole	295
14.9	Doping of PANI Films with PSS	298
14.10	Summary	300
	References	301
Part VI	Infrared Spectroscopic Methods for Characterization of Thin Organic Films	
15	Characterization of Thin Organic Films with Surface-Sensitive FTIR Spectroscopy	305
	Katy Roodenko, Damien Aureau, Florent Yang, Peter Thissen, and Jörg Rappich	
15.1	Introduction	306
15.2	Attenuated Total Reflection (ATR)	307
15.3	Infrared Spectroscopy in Transmission and Reflection Modes	311
15.3.1	Transmission Mode	311
15.3.2	Reflection Mode	314
15.3.3	Polarization Modulation Infrared Reflection Absorption Spectroscopy	315
15.4	IR Spectroscopic Ellipsometry: IRSE	318
15.5	Summary	321
	References	322
16	Brilliant Infrared Light Sources for Micro-Ellipsometric Studies of Organic Thin Films	325
	Michael Gensch	
16.1	Introduction	325

16.2 Infrared Synchrotron Beamlines for Spectroscopic Ellipsometry	
Applications	326
16.3 Applications	328
16.3.1 Mesoscopic Samples: Biosensors and Single Flake	
Graphene	328
16.3.2 Nano Patterned Films: Polymer Brushes	331
16.4 Summary and Outlook	333
16.4.1 Femtosecond-Laser Based Broad Band Sources	334
16.4.2 Fourth Generation Broad Band IR/THz Lightsources	334
References	335
 Part VII Optical Constants	
17 Common Polymers and Proteins	339
Andreas Furchner and Dennis Aulich	
18 Organic Materials for Optoelectronic Applications	347
Andreas Furchner and Dennis Aulich	
Index	357