

Contents

1. Surface and Interface Physics: Its Definition and Importance	1
Panel I: Ultrahigh Vacuum (UHV) Technology	6
Panel II: Basics of Particle Optics and Spectroscopy	18
2. Preparation of Well-Defined Surfaces and Interfaces	30
2.1 Why is Ultrahigh Vacuum Used?	30
2.2 Cleavage in UHV	32
2.3 Ion Bombardment and Annealing	35
2.4 Evaporation and Molecular Beam Epitaxy (MBE)	37
2.5 Epitaxy by Means of Chemical Reactions	50
Panel III: Auger Electron Spectroscopy (AES)	56
Panel IV: Secondary Ion Mass Spectroscopy (SIMS)	63
3. Morphology and Structure of Surfaces and Interfaces	72
3.1 Surface Tension and Macroscopic Shape	72
3.2 Relaxation, Reconstruction, and Defects	78
3.3 Two-Dimensional Lattices, Superstructure, and Reciprocal Space	84
3.3.1 Surface Lattices and Superstructures	84
3.3.2 2D Reciprocal Lattice	87
3.4 Structural Models of Solid/Solid Interfaces	89
3.5 Nucleation and Growth of Thin Films	94
3.5.1 Modes of Film Growth	94
3.5.2 "Capillary Model" of Nucleation	98
3.6 Film-Growth Studies:	
Experimental Methods and Some Results	101
Panel V: Scanning Electron Microscopy (SEM) and Microprobe Techniques	115
Panel VI: Scanning Tunneling Microscopy (STM)	122
Panel VII: Surface Extended X-Ray Absorption Fine Structure (SEXAFS)	130
4. Scattering from Surfaces	136
4.1 Kinematic Theory of Surface Scattering	137
4.2 The Kinematic Theory of Low-Energy Electron Diffraction (LEED)	142
4.3 What Can We Learn from Inspection of a LEED Pattern?	145
4.4 Dynamic LEED Theory and Structure Analysis	150

4.4.1	Matching Formalism	151
4.4.2	Multiple-Scattering Formalism	154
4.4.3	Structure Analysis	155
4.5	Kinematics of an Inelastic Surface Scattering Experiment . . .	156
4.6	Dielectric Theory of Inelastic Electron Scattering	160
4.6.1	Bulk Scattering	161
4.6.2	Surface Scattering	165
4.7	Dielectric Scattering on a Thin Surface Layer	172
4.8	Some Experimental Examples of Inelastic Scattering of Low-Energy Electrons at Surfaces	176
4.9	The Classical Limit of Particle Scattering	182
4.10	Conservation Laws for Atomic Collisions: Chemical Surface Analysis	186
4.11	Rutherford BackScattering (RBS): "Channeling and Blocking"	189
Panel VIII:	Low-Energy Electron Diffraction (LEED) and Reflection High-Energy Electron Diffraction (RHEED)	201
Panel IX:	Electron Energy Loss Spectroscopy (EELS)	210
5.	Surface Phonons	218
5.1	The Existence of "Surface" Lattice Vibrations on a Linear Chain	219
5.2	Extension to a Three-Dimensional Solid with a Surface	223
5.3	Rayleigh Waves	226
5.4	The Use of Rayleigh Waves as High Frequency Filters	230
5.5	Surface Phonon (Plasmon) Polaritons	232
5.6	Dispersion Curves from Experiment and from Realistic Calculations	242
Panel X:	Atom and Molecular Beam Scattering	248
6.	Electronic Surface States	254
6.1	Surface States for a Semi-Infinite Chain in the Nearly-Free Electron Model	255
6.2	Surface States of a 3D Crystal and Their Charging Character .	260
6.2.1	Intrinsic Surface States	260
6.2.2	Extrinsic Surface States	263
6.3	Aspects of Photoemission Theory	264
6.3.1	General Description	264
6.3.2	Angle-Integrated Photoemission	269
6.3.3	Bulk- and Surface-State Emission	270
6.3.4	Symmetry of Initial States and Selection Rules	273
6.3.5	Many-Body Aspects	274
6.4	Some Surface-State Band Structures for Metals	277
6.4.1	s- and p-like Surface States	277
6.4.2	d-like Surface States	281
6.4.3	Empty and Image-Potential Surface States	286
6.5	Surface States on Semiconductors	290

6.5.1	Elemental Semiconductors	292
6.5.2	III-V Compound Semiconductors	299
6.5.3	II-VI Compound Semiconductors	305
Panel XI: Photoemission and Inverse Photoemission		308
7.	Space-Charge Layers at Semiconductor Interfaces	316
7.1	Origin and Classification of Space-Charge Layers	316
7.2	The Schottky Depletion Space-Charge Layer	321
7.3	Weak Space-Charge Layers	324
7.4	Space-Charge Layers on Highly Degenerate Semiconductors	326
7.5	The General Case of a Space-Charge Layer	328
7.6	Quantized Accumulation and Inversion Layers	331
7.7	Some Particular Interfaces and Their Surface Potentials	336
7.8	The Silicon MOS Field-Effect Transistor	345
7.9	Some Experimental Results on Narrow Inversion and Accumulation Layers	349
7.10	Magnetoconductance of a 2D Electron Gas: The Quantum Hall Effect	352
7.11	Two-Dimensional Plasmons	357
Panel XII: Optical Surface Techniques		360
8.	Metal-Semiconductor Junctions and Semiconductor Heterostructures	372
8.1	General Principles Governing the Electronic Structure of Solid-Solid Interfaces	372
8.2	Metal-Induced Gap States (MIGS) at the Metal-Semiconductor Interface	380
8.3	Virtual Induced Gap States (VIGS) at the Semiconductor Heterointerface	388
8.4	Structure- and Chemistry-Dependent Models of Interface States	391
8.5	The Silicon-Silicide Interface	398
8.6	Some Applications of Metal-Semiconductor Junctions and Semiconductor Heterostructures	403
8.6.1	Schottky Barriers	403
8.6.2	Semiconductor Heterojunctions and Modulation Doping	406
8.6.3	The High Electron Mobility Transistor (HEMT)	411
8.7	Quantum Effects in 2D Electron Gases at Semiconductor Interfaces	414
Panel XIII: Electrical Measurements of Schottky-Barrier Heights and Band Offsets		422
9.	Adsorption on Solid Surfaces	429
9.1	Physisorption	429
9.2	Chemisorption	432
9.3	Work-Function Changes Induced by Adsorbates	437
9.4	Two-Dimensional Phase Transitions in Adsorbate Layers	443

9.5 Adsorption Kinetics	449
Panel XIV: Desorption Techniques	456
Panel XV: Kelvin Probe and Photoemission Measurements for the Study of Work-Function Changes and Semiconductor Interfaces	464
Problems	473
References	481
Subject Index	491